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To: The Chair and Members
of the Audit Committee

County Hall
Topsham Road
Exeter
Devon
EX2 4QD

Date: 15 November 2021

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AUDIT COMMITTEE

Tuesday, 23rd November, 2021

A meeting of the Audit Committee is to be held on the above date at 2.15 pm at Committee Suite - County Hall to consider the following matters.

Phil Norrey
Chief Executive

A G E N D A

PART I - OPEN COMMITTEE

1 Apologies for absence

2 Minutes (Pages 1 - 6)

Minutes of the meeting held on 21 September 2021, attached

3 Items Requiring Urgent Attention

Items which in the opinion of the Chair should be considered at the meeting as a matter of urgency.

4 Audit Findings Report for Devon County Council (Pages 7 - 44)

Report of Grant Thornton via the Director of Finance, attached

Electoral Divisions(s): All Divisions

- 5 Audit Findings Report for Devon Pension Fund (Pages 45 - 82)
Report of Grant Thornton via the Director of Finance, attached
Electoral Divisions(s): All Divisions
- 6 Statement of Accounts and Annual Governance Statement 2020/21 (Pages 83 - 304)
Report of the Director of Finance (DF/21/14), attached
Electoral Divisions(s): All Divisions
- 7 Internal Audit Update (Pages 305 - 330)
Report of the Director of Finance (DF/21/10), attached
Electoral Divisions(s): All Divisions
- 8 Counter Fraud Update (Pages 331 - 338)
Report of the Director of Finance (DF/21/09), attached
Electoral Divisions(s): All Divisions
- 9 Risk Management Update (Pages 339 - 348)
Report of the Director of Finance (DF/21/08), attached
Electoral Divisions(s): All Divisions
- 10 Future Meetings
24 February 2022
07 June 2022

PART II - ITEMS WHICH MAY BE TAKEN IN THE ABSENCE OF PRESS AND PUBLIC ON THE GROUNDS THAT EXEMPT INFORMATION MAY BE DISCLOSED

11 Exclusion of the Press and Public

Recommendation: that the press and public be excluded from the meeting for the following items of business under Section 100(A)(4) of the Local Government Act 1972 on the grounds that they involve the likely disclosure of exempt information as defined in Paragraph 3 of Schedule 12A of the Act namely, the financial or business affairs of the a third party and of the County Council and in accordance with Section 36 of the Freedom of Information Act 2000, by virtue of the fact that the public interest in maintaining the exemption outweighs the public interest in disclosing the information.

12 Procurement Arrangements for External Audit 2023/24 to 2027/28 (Pages 349 - 352)

Report of the Director of Finance (DF/21/15), attached

Electoral Divisions(s): All Divisions

Members are reminded that Part II Reports contain exempt information and should therefore be treated accordingly. They should not be disclosed or passed on to any other person(s). They need to be disposed of carefully and should be returned to the Democratic Services Officer at the conclusion of the meeting for disposal.

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Committee Terms of Reference

For the terms of reference for any Committee, please [visit the Committee page](#) on the website and click on the name of the Committee. Under purpose of Committee, the terms of reference will be listed. Terms of reference for all Committees are also detailed within Section 3b of [the Council's Constitution](#).

Access to Information

Any person wishing to inspect any minutes, reports or background papers relating to an item on the agenda should contact the Clerk of the Meeting. To find this, [visit the Committee page](#) on the website and find the Committee. Under contact information (at the bottom of the page) the Clerk's name and contact details will be present. All [agenda, reports and minutes of any Committee are published on the Website](#)

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The proceedings of any meeting may be recorded and / or broadcasted live, apart from any confidential items which may need to be considered in the absence of the press and public. For more information [go to our webcasting pages](#)

Anyone wishing to film part or all of the proceedings may do so unless the press and public are excluded for that part of the meeting or there is good reason not to do so, as directed by the Chair. Filming must be done as unobtrusively as possible without additional lighting; focusing only on those actively participating in the meeting and having regard to the wishes of others present who may not wish to be filmed. Anyone wishing to film proceedings is asked to advise the Chair or the Democratic Services Officer in attendance.

Members of the public may also use social media to report on proceedings.

Declarations of Interest for Members of the Council

It is to be noted that Members of the Council must declare any interest they may have in any item to be considered at this meeting, prior to any discussion taking place on that item.

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Induction Loop available

