

Phil Norrey
Chief Executive

To: The Chairman and Members of
the Cabinet

County Hall
Topsham Road
Exeter
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(See below)

Your ref :
Our ref :

Date : 6 June 2017
Please ask for : Rob Hooper, 01392 382300

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:

CABINET

Wednesday, 14th June, 2017

A meeting of the Cabinet is to be held on the above date at 10.30 am in the Committee Suite - County Hall to consider the following matters.

P NORREY
Chief Executive

AGENDA

PART I - OPEN COMMITTEE

1 Apologies for Absence

2 Minutes

Minutes of the meeting held on 31 May 2017 (previously circulated).

3 Items Requiring Urgent Attention

Items which in the opinion of the Chairman should be considered at the meeting as matters of urgency.

4 Chairman's Announcements

5 Petitions

6 Question(s) from Members of the Council

FRAMEWORK DECISION

None

KEY DECISIONS

- 7 Revenue and Capital Outturn 2016/17 (Pages 1 - 26)
Report of the County Treasurer ([CT/17/58](#)) on the outturn for 2016/17, attached.
Electoral Divisions(s): All Divisions
- 8 Allocation of Additional Adult Social Care Grant (Pages 27 - 34)
Report of the Head of Adult Commissioning & Health on the proposed allocation of additional monies allocated by Government for 2017/18, ([ACH/17/66](#)), attached.
Electoral Divisions(s): All Divisions
- 9 Promoting Independence in Devon (Pages 35 - 44)
Report of the Head of Adult Commissioning & Health ([ACH/17/66](#)) seeking approval to a proposed policy '*Promoting Independence in Devon*' to enable residents to stay healthy and active in their communities where possible with the minimal necessary reliance on publicly funded health and care services, attached.
Electoral Divisions(s): All Divisions
- 10 Tiverton Eastern Urban Extension (EUE) A361 Access Junction (Pages 45 - 60)
Report of the Head of Planning, Transportation and Environment (PTE/17/32) seeking approval to the design and construction of Phase 1 of the access junction with the A361 to the east of Tiverton to allow access to the new Tiverton Eastern Urban Extension (EUE) to facilitate the development of that site, attached.
Electoral Divisions(s): Tiverton East

MATTERS REFERRED

None

STANDING ITEMS

- 11 Question(s) from Members of the Public
- 12 Notice of Motion (Pages 61 - 62)
Report of the County Solicitor ([CSO/17/19](#)) on a Notice of Motion referred to the Cabinet by the County Council on 27 April 2017, incorporating a briefing note to facilitate the Cabinet's discussion of the matter raised, attached.
- 13 Delegated Action/Urgent Matters (Pages 63 - 64)
The Registers of Decisions taken by Members under the urgency provisions or delegated powers will be available for inspection at the meeting in line with the Council's Constitution and Regulation 13 of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012. A summary of any such decisions taken since the last meeting is attached.
- 14 Forward Plan
In accordance with the Council's Constitution, the Cabinet is requested to review the list of forthcoming business (previously circulated) and to determine which items are to be defined as key and/or framework decisions and included in the Plan from the date of this meeting.

[NB: The Forward Plan is available on the Council's website at: <http://democracy.devon.gov.uk/mgListPlans.aspx?RPId=133&RD=0&bcr=1>]

PART II - ITEMS WHICH MAY BE TAKEN IN THE ABSENCE OF THE PRESS AND PUBLIC

None

Notice of all items listed above have been included in the Council's Forward Plan for the required period, unless otherwise indicated. The Forward Plan is published on the County Council's website at <http://www.devon.gov.uk/cma.htm>

Notice of the decisions taken by the Cabinet will be sent by email to all Members of the Council within 2 working days of their being made and will, in the case of key decisions, come into force 5 working days after that date unless 'called-in' or referred back in line with the provisions of the Council's Constitution. The Minutes of this meeting will be published on the Council's website, as indicated below, as soon as possible.

Members are reminded that Part II Reports contain confidential information and should therefore be treated accordingly. They should not be disclosed or passed on to any other person(s).

Members are also reminded of the need to dispose of such reports carefully and are therefore invited to return them to the Democratic Services Officer at the conclusion of the meeting for disposal.

Membership

Councillors J Hart, S Barker, J Clatworthy, R Croad, A Davis, S Hughes, A Leadbetter, J McInnes and B Parsons

Cabinet Member Remits

Councillors Hart (Policy & Corporate), Barker (Economy & Skills), Clatworthy (Resources & Asset Management), Croad (Community, Public Health, Transportation & Environmental Services), Davis (Infrastructure Development & Waste), S Hughes (Highway Management), Leadbetter (Adult Social Care & Health Services), McInnes (Children's Services & Schools) and Parsons (Organisational Development & Digital Transformation)

Declaration of Interests

Members are reminded that they must declare any interest they may have in any item to be considered at this meeting, prior to any discussion taking place on that item.

Access to Information

Any person wishing to inspect the Council's / Cabinet Forward Plan or any Reports or Background Papers relating to any item on this agenda should contact Rob Hooper on 01392 382300. The Forward Plan and the Agenda and Minutes of the Committee are published on the Council's Website and can also be accessed via the Modern.Gov app, available from the usual stores.

Webcasting, Recording or Reporting of Meetings and Proceedings

The proceedings of this meeting may be recorded for broadcasting live on the internet via the 'Democracy Centre' on the County Council's website. The whole of the meeting may be broadcast apart from any confidential items which may need to be considered in the absence of the press and public. For more information go to: <http://www.devoncc.public-i.tv/core/>

In addition, anyone wishing to film part or all of the proceedings may do so unless the press and public are excluded for that part of the meeting or there is good reason not to do so, as directed by the Chairman. Any filming must be done as unobtrusively as possible from a single fixed position without the use of any additional lighting; focusing only on those actively participating in the meeting and having regard also to the wishes of any member of the public present who may not wish to be filmed. As a matter of courtesy, anyone wishing to film proceedings is asked to advise the Chairman or the Democratic Services Officer in attendance so that all those present may be made aware that is happening.

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Questions to the Cabinet / Public Participation

A Member of the Council may ask the Leader of the Council or the appropriate Cabinet Member a question about any subject for which the Leader or Cabinet Member has responsibility.

Any member of the public resident in the administrative area of the county of Devon may also ask the Leader a question upon a matter which, in every case, relates to the functions of the Council. Questions must be delivered to the Office of the Chief Executive Directorate by 12 noon on the fourth working day before the date of the meeting. For further information please contact Mr Hooper on 01392 382300 or look at our website at: <http://new.devon.gov.uk/democracy/guide/public-participation-at-committee-meetings/>

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The nearest mainline railway stations are Exeter Central (5 minutes from the High Street) and St David's and St Thomas's both of which have regular bus services to the High Street. Bus Service H (which runs from St David's Station to the High Street) continues and stops in Wonford Road (at the top of Matford Lane shown on the map) a 2/3 minute walk from County Hall, en route to the RD&E Hospital (approximately a 10 minutes walk from County Hall, through Gras Lawn on Barrack Road).

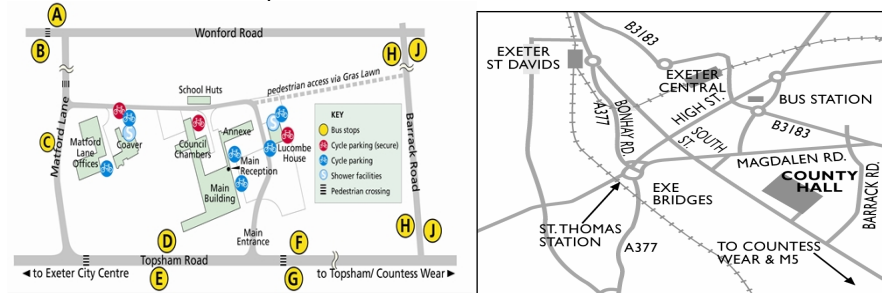
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NB   Denotes bus stops

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First Aid

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**Revenue & Capital
Outturn 2016/2017**



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CT/17/58

Cabinet

14th June 2017

2016/17 REVENUE & CAPITAL OUTTURN

Report of the County Treasurer

Please note that the following recommendations are subject to consideration and determination by the Cabinet (and confirmation under the provisions of the Council's Constitution) before taking effect.

Recommendations:

It is recommended that:

- a) Members note that the draft outturn for 2016/17, after carry forwards and contributions to balances, reserves and provisions, shows an underspend of £43,000 against the approved revenue budget;
- b) The 2016/17 revenue outturn be approved, including transactions on earmarked reserves and provisions, spending against budget, treatment of over and under spending and the implications for balances, as set out in the Tables and the narrative of the report;
- c) The 2016/17 capital outturn be approved including spending against budget and proposed carry forwards, in accordance with the Tables;
- d) The use of capital finance as set out in the Statement of Determination of Capital Finance on page 24 of the Capital Outturn Report be approved;
- e) A total of £20.318 millions is set aside from revenue resources as provision for credit liabilities (to repay debt);
- f) The Prudential Indicators as set out in sections 11 to 14 of this report be noted; and
- g) The total monies owing to the Council as at 31st March 2017 be noted.

1. Background and Summary

1.1 Members have received regular budget monitoring reports throughout 2016/17 in which budget pressures and risks have been identified. The most significant of these related to Adult Services. The financial year has now ended and it is pleasing that the final position is a small underspending of £43,000 after transfers to Reserves. Detailed explanations for the final financial position for each service area are given in section 2 of this report.

2. Spending

2.1 People Services

2.1.1 The outturn for People Services shows an overspend of £9.737 millions at the end of the financial year.

2.1.2 Children's Social Work and Child Protection end the financial year with an underspend of £228,000. Looked after children are showing an overspend

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mainly around placement mix, however this has been offset by underspends on staffing and in other areas such as contract management and legal costs.

- 2.1.3 Members will be aware that an overspending in Adult Care and Health has been forecast for most of the financial year. The final position was an overspending of £6.945 millions. The main area of overspend relates to Disability Services where the number of packages for the year ended at 325 above budgeted levels. Across the services for Older People, Physical Disabilities and Learning Disabilities the budgeted number of packages was 9,838 compared with the actual numbers at the year end of 10,032, a variation of 194. The number of packages for Learning Disabilities remained constant at 325 above budgeted levels. Members need to be aware that the year end overspending has been reduced by a one off benefit of £4.039 millions due to a delay in re-procurement and the authority's share of the underspend on the Better Care Fund that is detailed in section 3 of this report.
- 2.1.4 Adult Commissioning & Health are showing a small overspend of £153,000. There has been an overspend on mental health services which has been offset mainly by staff vacancies and contract savings.
- 2.1.5 Education and Learning (General Fund) is showing an overspend of £1.443 millions which relates to personalised transport and is due to an increase in the number of children with Special Educational Needs requiring transport.
- 2.1.6 Expenditure in Education and Learning relates to spending on schools which is funded from the Dedicated schools grant (DSG). In recent years there has been underspending against the grant but in 2016/17 there has been an overspending of £1.424 millions. This is due to higher than anticipated spending on high need placements. This predominately relates to the spend on independent special schools due to increased numbers and the inclusion of Children up to the age of 19. On average there has been an increase of 31 placements in the independent sector throughout the year. Plans have been put in place to reduce this deficit over a two year period and bring the service back into a break-even position. In previous years school funding surpluses have been carried forward into the new financial year. It is possible to carry forward deficits in the same way but the agreement of the Council's schools forum is required. The Schools forum has not agreed to the carry-forward of the deficit. An application has been made to the Secretary of state to set aside the regulations and overrule the decision of the Schools Forum. Across the Country this has happened before and approval is normally given. However, the calling of an election has put a hold on decisions such as this being made and communicated so the result of the application will not be known until after the election.
- 2.1.7 Across all of People Services the carry forward proposals for grants and contributions received total £22.424 millions. The majority of which, £20.555 millions, relates to the Dedicated Schools Grant which funds schools and education and is ring fenced for these purposes and if unspent it must be carried forward.
- 2.2 Place Services
- 2.2.1 The outturn for Place Services shows an overall net underspend of £1.707 millions after taking into account grants and contributions carry forward and any other carry forward requests.

- 2.2.2 Highways and Traffic Management are showing a small underspend of £354,000. The underspend relates to a reduction in the level of reactive spend in part related to the mild winter, together with a reduction in the energy and maintenance costs of street lighting. This underspend has been partially offset by the inclusion of mobilisation costs attached to the new Term Maintenance Contract (TMC) which started on 1st April 2017.
- 2.2.3 Planning, Transportation and Environment is showing an underspend of £319,000 which mainly relates to increased inspection and planning fees.
- 2.2.4 Capital Development and Waste is showing an underspend of £1.388 millions. The majority of this underspend relates to the Energy from Waste Plants and is due to lower tonnages, increased income and reduced cost of waste transfer.
- 2.2.5 Economy and Enterprise Services are showing an overspend of £1.021 millions. This relates to an increase in the provision against the loan guarantee for the Science Park Centre. The loan was made to the Exeter Science Park Company to fund the build of the Science Park Centre. The loan is guaranteed by the County Council and Exeter University on 50/50 split. The provision is based on the risk of the guarantee being called upon. The overall provision sits at £1.831 millions with an overall maximum liability to the County Council of £2.652 millions.
- 2.2.6 Services for Communities are showing an underspend of £667,000. There are a variety of small underspends across the service with the majority relating to reduced journeys and lower contract costs of the National Travel Scheme.
- 2.3 Corporate Services
- 2.3.1 The outturn for Corporate Services shows an overall underspend of £1.011 millions after taking into account grants and contributions carry forward and any other carry forward requests.
- 2.3.2 Digital Transformation and Business Support are showing an underspend of £566,000. The underspend is in Business Infrastructure and is made up of an increase in the profit share from NORSE and reduced energy costs. The County Solicitor is showing a small underspend of £55,000 which relates to increased income from the registration service from ceremonies and licences partially offset by an overspend from the coroners services due to an increase in the number of body removals and a provision for a coroner's inquest investigation. Human Resources are showing an underspend of £228,000 due to vacancy management. The County Treasurer is showing an underspend of £162,000 due to vacancy management and a reduction in the cost of unfunded pensions.
- 2.3.3 Public Health shows a net breakeven position after taking £266,000 from the Public Health Reserve with savings on the Nicotine Replacement Therapy costs being offset by an increase in demand against payments by results contracts. Members will recall that when the 2016/17 Budget was set a late cut to the Public Health Grant was announced by Government. £500,000 was made available from the authority's Transformation Budget to help the service bridge the gap in its budget. The Public Health team has worked very hard to contain its spending and has been able to return almost £200,000 of the authority's transformation budget unspent.

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2.4 Other Items

- 2.4.1 Capital Financing costs are £246,000 less than budgeted due to slippage in the Capital Programme. Interest Receivable is £79,000 more than budgeted due mainly to the investment in the CCL Property Fund.
- 2.4.2 £250,000 of the infrastructure development budget was carried forward from 2015/16 to 2016/17; it is now recommended that this is carried forward to support the remaining projects in 2017/18 and that £70,000 of the efficiency support budget is carried forward to enable the continuation of Chapter 8 training with Parish Councils.
- 2.4.3 As detailed in paragraph 2.3.6, the Public Health team has worked very hard to contain its spending and has been able to return almost £200,000 of the authority's transformation budget unspent which has allowed £245,000 to be transferred to the Transformation Reserve.
- 2.4.4 It is recommended that £1.1 millions of the Dartington School budget is carried forward to fund the ongoing revenue costs of the Dartington School rebuild. Upon advice from Ashfords LLP, Devon County Council has settled its claims in relation to Dartington Primary School in the aggregate amount of £8.015 millions. As is common in settlements of this nature, no admission of liability was made by any party. The cost of rebuilding the school is being funded as part of the Capital Programme and will be funded from internal borrowing. This means that the rebuild cost will be paid for over the life of the asset and the income received of £8.015 millions can be transferred to the Budget Management Reserve.
- 2.4.5 The budget for Bellwin Scheme Related Emergencies was fortunately not needed and it is recommended that this sum is added to the Emergency Reserve. It is also recommended that £2.0 millions from the Resilience budget is added to the Budget Management Reserve.
- 2.4.6 It is recommended that £419,000 of Direct Revenue Support for Capital is carried forward to 2017/18 in relation to flood relief schemes and £385,000 for Special Educational Needs and Disability.
- 2.4.7 £1.1 millions is estimated to be received in relation to business rates pooling gain; as in previous years it is recommended that it is set-aside in the earmarked reserve to help mitigate future business rate risk associated with backdated appeals and other losses.

3. Better Care Fund

- 3.1 The Better Care Fund (BCF) is showing an underspend of £3.111 millions. Based on the agreed risk share arrangement the distribution of the surplus means that the Council will receive £1.556 millions of this sum which has been incorporated into the outturn position. The majority of the underspending (£1.491 millions) is within Adult Services with the remainder (£65,000) within Children's Services.

4. General Balances

- 4.1 The working balance at 31st March 2016 was £14.636 millions. The review of the financial risk assessment prepared when the 2016/17 Budget was considered indicates that the Council should hold a working balance of about

£14 millions. The outturn has enabled £43,000 to be added to the working balance.

5. Earmarked Reserves

- 5.1 At the beginning of the financial year, earmarked reserves (excluding schools and non-schools carry forwards) stood at £56.1 millions. During the year earmarked reserves have increased by a net £15.3 millions to £71.4 millions. Within this overall increase, the Transformation Reserve has increased by £2.6 millions which is the net effect of the planned contribution to the reserve of £5.0 millions and in year costs. The Budget Management Reserve has increased by £10.0 millions as a result of the transfers set out above.
- 5.2 During the 2017/18 budget setting process schools had late notification of the pension increase for support staff. The Council recognises that the delay in schools being able to understand the impact of the increase in pension contributions will mean that their ability to secure any necessary savings will also have been delayed. Therefore, it is recommended that within the Budget Management Reserve up to £700,000 is earmarked to support schools where the impact of these delays will cause hardship.
- 5.3 Details of earmarked reserves are contained on page 10.

6. Conclusion

- 6.1 The Council has dealt with an overspending of just under £10 millions in People Services. It has done this and still been able to increase reserves by £15.3 millions. This has been achieved partly through good housekeeping in other service areas, partly through income receipts received towards the end of the year and partly through the continuing benefit of changes made to the calculation of the Minimum Revenue Provision Policy in 2015/16.
- 6.2 In 2016/17 the budget for Children's Social Work and Child Protection was increased by £11.4 millions and expenditure has stayed within the budget. In 2017/18 the budget for Adult Social Care and Health has been increased by £18.7 millions. The council must ensure that it stays within the budget set for 2017/18 and does its best to ensure that there is not a third year of overspending in social care services.

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REVENUE OUTTURN SUMMARY 2016/17

	Final Approved Budget	Spending	Variations (Under) / Over
	(1) £'000	(2) £'000	(3) £'000
1 People	347,204	334,517	(12,687)
2 Place	96,521	89,685	(6,836)
3 Corporate Services	33,790	32,913	(877)
4 Additional Pension Contributions	5,883	5,126	(757)
TOTAL FOR SERVICES	483,398	462,241	(21,157)
5 Budget Carry Forward Fund	(40,828)		40,828
NON-SERVICE ADJUSTMENTS			
6 Transformation Costs	0	2,413	2,413
7 Other		209	209
8 Capital Financing Charges	44,261	44,015	(246)
9 Capital Financing Charges - PFI	14,650	14,540	(110)
10 Investment Income on Balances	(1,215)	(1,294)	(79)
Levies and Contributions to Outside Bodies:			
11 Environment Agency	463	457	(6)
12 Devon Sea Fisheries	326	326	0
13 Infrastructure Development	250		(250)
14 Efficiency Support for Sparse Areas	70		(70)
15 Transformation	245		(245)
17 Dartington School	2,485	(6,611)	(9,096)
18 New Burdens Resillience	7,000		(7,000)
19 Bellwin Scheme Related Emergencies	1,500		(1,500)
20 Special Education Needs & Disability	385		(385)
21 Insurance	1,356	1,069	(287)
22 Council Tax Support Partnership	350	197	(153)
23 Direct Revenue Support for Capital	2,236	1,817	(419)
24 Schools contribution to capital expenditure	(797)	(797)	0
25 Contributions to / (from) Earmarked Reserves	5,000		(5,000)
26 Local Service Support Grant	(340)	(514)	(174)
27 New Homes Bonus	(5,370)	(5,370)	0
28 New Homes Bonus Adjustment Grant	(230)	(218)	12
29 Small Business & Empty Property Rate Relief Grant	(1,254)	(1,420)	(166)
30 Business Rate Compensation Grant	(1,360)	(1,347)	13
31 Education Services Grant	(5,816)	(5,929)	(113)
32 Independent Living Fund Grant	(2,820)	(2,888)	(68)
33 Special Education Needs & Disability Grant	(488)	(488)	0
34 Rural Services Delivery Grant	(7,409)	(7,409)	0
35 Transition Grant	(2,823)	(2,823)	0
36 Prison Social Care Grant	(303)	(303)	0
37 High Needs Strategic Planning Grant		(289)	(289)
38 Devon Business Rates Pool	0	(1,149)	(1,149)
39 Other General Grants	0	(14)	(14)
Sub Total	492,922	488,421	(4,501)
40 Routine Spending from Earmarked Reserves	3,798	140	(3,658)
41 Routine Use of Earmarked Reserves	(3,798)		3,798
42 TOTAL	492,922	488,561	(4,361)

REVENUE OUTTURN SUMMARY 2016/17

Grant C/Fwd to 17/18 from 16/17 (4) £'000	Other C/Fwd to 17/18 from 16/17 (5) £'000	Take to / (from) Reserves (6) £'000	Recommended Outturn (7) £'000	(Increase)/ Decrease in Balances (8) £'000		
22,424			356,941	9,737	People	1
4,807	322		94,814	(1,707)	Place	2
	132	(266)	32,779	(1,011)	Corporate Services	3
			5,126	(757)	Additional Pension Contributions	4
27,231	454	(266)	489,660	6,262	TOTAL FOR SERVICES	
(34,314)	(6,514)		(40,828)	0	Budget Carry Forward Fund	5
					NON-SERVICE ADJUSTMENTS	
		(2,413)	0	0	Transformation Costs	6
			209	209	Other	7
			44,015	(246)	Capital Financing Charges	8
			14,540	(110)	Capital Financing Charges - PFI	9
			(1,294)	(79)	Investment Income on Balances	10
					Levies and Contributions to Outside Bodies:	
			457	(6)	Environment Agency	11
			326	0	Devon Sea Fisheries	12
	250		250	0	Infrastructure Development	13
	70		70	0	Efficiency Support for Sparse Areas	14
		245	245	0	Transformation	15
	1,081	8,015	2,485	0	Dartington School	17
		2,000	2,000	(5,000)	New Burdens Resillience	18
		1,500	1,500	0	Bellwin Scheme Related Emergencies	19
	385		385	0	Special Education Needs & Disability	20
			1,069	(287)	Insurance	21
			197	(153)	Council Tax Support Partnership	22
	419		2,236	0	Direct Revenue Support for Capital	23
			(797)	0	Schools contribution to capital expenditure	24
		5,000	5,000	0	Contributions to / (from) Earmarked Reserves	25
			(514)	(174)	Local Service Support Grant	26
			(5,370)	0	New Homes Bonus	27
			(218)	12	New Homes Bonus Adjustment Grant	28
		166	(1,254)	0	Small Business & Empty Property Rate Relief Grant	29
			(1,347)	13	Business Rate Compensation Grant	30
			(5,929)	(113)	Education Services Grant	31
			(2,888)	(68)	Independent Living Fund Grant	32
			(488)	0	Special Education Needs & Disability Grant	33
			(7,409)	0	Rural Services Delivery Grant	34
			(2,823)	0	Transition Grant	35
			(303)	0	Prison Social Care Grant	36
			(289)	(289)	High Needs Strategic Planning Grant	37
		1,149	0	0	Devon Business Rates Pool	38
			(14)	(14)	Other General Grants	39
(7,083)	(3,855)	15,396	492,879	(43)	Sub Total	
			140	(3,658)	Routine Spending from Earmarked Reserves	40
		(140)	(140)	3,658	Routine Use of Earmarked Reserves	41
(7,083)	(3,855)	15,256	492,879	(43)	TOTAL	42

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REVENUE OUTTURN 2016/17

WORKING BALANCES

	Balance 1st April 2016 (1) £'000	Contrib'ns (2) £'000	Spending (3) £'000	Balance 31st March 2017 (4) £'000
1 Working Balances	14,636			
2 External Support for Revenue Spending		492,922		
3 Net Revenue Spending			(492,879)	
4 Total County Fund	14,636	492,922	(492,879)	14,679

EARMARKED RESERVES

	Balance 1st April 2016 (1) £'000	Contrib'ns from Budget (2) £'000	Contrib'ns from / (to) Outturn (3) £'000	Spending (4) £'000	Balance 31st March 2017 (5) £'000
1 Affordable Housing	339			(137)	202
2 Budget Management	24,026		10,015		34,041
3 Business Rates Risk Management	2,425		1,315		3,740
4 Public Health	492			(266)	226
5 Emergency	15,000		1,500		16,500
6 On Street Parking	4,747	206			4,953
7 Service Transformation	9,103	5,000	245	(2,622)	11,726
	56,132	5,206	13,075	(3,025)	71,388
8 Schools Carry Forward	23,163		18,388	(23,163)	18,388
9 Non Schools Carry Forward	17,665		11,502	(17,665)	11,502
	40,828	0	29,890	(40,828)	29,890
Total	96,960	5,206	42,965	(43,853)	101,278

REVENUE OUTTURN 2016/17

FUNDING REVENUE SPENDING

	£'000	£'000
1 Total revenue spending		492,879
2 Budget Variation added to balances		43
3 Budget level		<u>492,922</u>
4 Financed by:		
5 Revenue Support Grant	(57,700)	
6 Business Rates Retention Scheme - Local Element	(22,215)	
7 Business Rates Retention Scheme - Top Up	(72,110)	
8 Business Rates Collection Fund Deficit	960	
9 Council Tax - precepts on District Councils	(341,857)	
10 Total financing		<u>(492,922)</u>

PROVISIONS

	Provision B/ Forward	Changes in 2016/17	Provision Remaining 31st March 2017	Recommended Action	
	£'000	£'000	£'000	Increase / (Decrease) in provision £'000	Provision for 2016/17 £'000
1 Doubtful debts provision	1,135	(62)	1,073		1,073
2 Insurance	13,273	374	13,647		13,647
3 Out of date cheques	131	(10)	121		121
4 Coroners	0	150	150		150
5 Green Waste		100	100		100
6 Waste Management	215		215		215
7 Structural redundancies	481	(397)	84		84
Total	<u>15,235</u>	<u>155</u>	<u>15,390</u>	0	<u>15,390</u>

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REVENUE OUTTURN 2016/17 – RECOMMENDED ACTION

PEOPLE

	Final Adjusted Budget (1) £'000	Unadjusted Spending (2) £'000	Grants c/fwds to 2017/18 (3) £'000	Variations (Under) / Over (4) £'000	Recommended Action Other c/fwds to 2017/18 (5) £'000	Final Outturn (Under) / Over (6) £'000
Childrens Social Work & Child Protection	76,326	75,196	902	(228)		(228)
Adult Care & Health	173,903	180,848	0	6,945		6,945
Adult Commissioning & Health (incl Mental Health)	24,795	24,948	0	153		153
Education & Learning - General Fund	43,056	43,532	967	1,443		1,443
Education & Learning - Dedicated Schools Grant	29,124	9,993	20,555	1,424		1,424
Revenue Outturn	347,204	334,517	22,424	9,737	0	9,737
VARIATIONS BETWEEN BUDGET AND SPEND					£'000	£'000
<u>Childrens Social Work & Child Protection</u>						
Net effect of looked after children placement mix, more children accessing shortbreaks, increase in Special Guardianship and Kinship arrangements					1,205	
Number of social workers employed lower than budget offset by differential costs for temporary workers					(720)	
Savings across Youth Offending, fostering, adoption and REACH* teams from reorganisation and vacancy management (Reducing Exploitation and Absence from Care or Home)					(774)	
Atkinson Unit - higher numbers of young people with very complex needs					380	
Agreed share of Better Care Fund underspend					(65)	
Net savings across Strategic Management teams, contract management and legal costs					(50)	
Early Help - five year budget plan; phasing of attachment fee income and Service Transformation Grant					(204)	(228)
<u>Adult Care & Health</u>						
Older People - residential/nursing increased cost pressures (incl market premiums) offset by re-procurement delayed (£3.078m)					1,480	
Disability Services (including autistic spectrum conditions) - increased costs across all services largely volume related offset by re-procurement delayed (£0.961m)					7,044	
Agreed share of Better Care Fund underspend					(1,491)	
Older People/Disability in-house (including social care reablement) - increased staffing and other costs					94	
Safeguarding Adults - vacancy savings in staffing costs					(110)	
Workforce Development - savings in staffing costs and increased income					(72)	6,945
<u>Adult Commissioning & Health (incl Mental Health)</u>						
Staffing vacancy savings and efficiencies					(209)	
Savings on contracts and central budgets withheld in year					(522)	
Net overspend on Adult Mental Health services					884	153
<u>Education and Learning - General Fund</u>						
Lower Legal costs, Admissions buy-back and staff vacancies and efficiencies					(291)	
Cost reduction in various external contracts					(247)	
Early Years provider support and development and Children's centres rent					(154)	
Home to School/College Transport - increased numbers through demography					652	
Personalised Transport - increased cost / number of children with Special Educational Needs requiring transport					1,361	
Unforeseen Teacher Pension and SCITT payments					168	
Other Savings					(46)	1,443
<u>Education and Learning - Dedicated Schools Grant and Schools Funding</u>						
Schools' delegated budgets (including Special and Hospital schools)					(18,400)	
Schools' de-delegated budgets and centrally retained services for schools					(4,431)	
Early education for 2, 3 & 4 year olds - take up of provision and planned carry forward of funding					(223)	
High Needs - Increased demand and cost for SEN placements					3,922	
Revenue grants and contribution carried forward					20,555	1,424
						9,737
CARRY FORWARD PROPOSALS						0
CONTRIBUTION TO / (FROM) OUTTURN						(9,737)

REVENUE OUTTURN 2016/17 – RECOMMENDED ACTION

PLACE

	Final Adjusted Budget (1) £'000	Unadjusted Spending (2) £'000	Grants c/fwds in 2016/17 (3) £'000	Variations (Under) / Over (4) £'000	Recommended Action Other c/fwds to 2017/18 (5) £'000	Final Outturn (Under) / Over (6) £'000
Highways and Traffic Management	32,878	32,339	185	(354)	0	(354)
Planning, Transportation & Environment	6,264	3,891	1,931	(442)	123	(319)
Capital Development and Waste Management	27,173	25,770	15	(1,388)	0	(1,388)
Economy & Enterprise	1,957	2,486	376	905	116	1,021
Services for Communities	28,249	25,199	2,300	(750)	83	(667)
Revenue Outturn	<u>96,521</u>	<u>89,685</u>	<u>4,807</u>	<u>(2,029)</u>	<u>322</u>	<u>(1,707)</u>
<u>VARIATIONS BETWEEN BUDGET AND SPEND</u>					£'000	£'000
<u>Highways and Traffic Management</u>						
Legal fees, including new Term Maintenance Contract (TMC) and reduced charges to capital					439	
New TMC mobilisation and final contract adjustments					646	
Reduced reactive spend due to mild winter and no specific storms					(937)	
Street Lighting reduced energy and maintenance costs					<u>(502)</u>	(354)
<u>Planning, Transportation & Environment</u>						
Increased new income streams, project underspends and general variations					(83)	
Increased economic activity resulting in more inspection and planning fees					(304)	
Delayed match spend on formal partnerships					<u>(55)</u>	(442)
<u>Capital Development and Waste Management</u>						
Reduction in staffing costs and other operational expenditure					(316)	
Energy from Waste plants – Lower tonnages, increased income, reduced cost of waste transfer					(1,216)	
Waste Recycling contracts – Recycling credits underspent because of lower tonnages					(39)	
Waste Disposal tonnages – Increase in IVC tonnages and other minor changes					<u>183</u>	(1,388)
<u>Economy & Enterprise</u>						
Science Park Loan guarantee reflecting revised risk rating					1,031	
Project and staffing underspends					<u>(126)</u>	905
<u>Services For Communities</u>						
National Travel scheme - Reduced journeys resulting in lower contract costs					(373)	
Bus subsidies and management costs - Reduced activity and contract spend and other variations					(111)	
Underspend on Locality and Town and Parish budgets					(128)	
Trading standards - Achieving early staffing and other savings					(75)	
Youth Services - Property transfer delayed					(37)	
General project underspends					<u>(26)</u>	(750)
						<u><u>(2,029)</u></u>
<u>CARRY FORWARD PROPOSALS</u>						
Match funding for partnership agreements - Environment						22
Match funding for partnership agreements - Broadband Development UK (BDUK)						116
Match funding for partnership agreements - Greater Exeter Strategy						33
County Hall travel plans - Extended remit						68
Youth Services - Surplus property transfer delayed						40
Town and Parish Funds - Devon Remembers and Devon Records Office						<u>43</u>
						<u><u>322</u></u>
<u>CONTRIBUTION (TO)/FROM OUTTURN</u>						(1,707)

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REVENUE OUTTURN 2016/17 – RECOMMENDED ACTION

CORPORATE SERVICES

	Final Adjusted Budget (1)	Unadjusted Spending (2)	Grants c/fwds to 2017/18 (3)	Variations (Under) / Over (4)	Recommended Action Other c/fwds to 2017/18 (5)	Final Outturn (Under) / Over (6)
	£'000	£'000	£'000	£'000	£'000	£'000
Digital Transformation & Business Support	14443	13745	0	(698)	132	(566)
County Solicitor	5005	4950	0	(55)	0	(55)
Human Resources	2666	2438	0	(228)	0	(228)
County Treasurer	11224	11062	0	(162)	0	(162)
Public Health	452	452	0	0	0	0
Revenue Outturn	33,790	32,647	0	(1,143)	132	(1,011)
<u>VARIATIONS BETWEEN BUDGET AND SPEND</u>					£'000	£'000
<u>Digital Transformation & Business Support</u>						
Estates - reduction in NPS profit share due to over-reliance on "aspirational" work in the 16/17 business plan and a higher than normal level of work in progress at year end					185	
Business Infrastructure - significantly increased profit share from Norse (facilities management) and energy efficiency and central despatch savings.					(508)	
Private Finance Initiative - Savings in professional fees and contracts					(190)	
ICT - Commissioning team restructure and strategic project spend that could not be capitalised, offset by additional profit generated by Scomis as a result of Windows 10					(133)	
Other minor variations					(52)	(698)
<u>County Solicitor</u>						
Legal Services - locum Solicitors, village green enquiry and provision for coroners inquest investigation					220	
Registration Service - increased income from ceremonies and licenses					(266)	
Other minor variations					(9)	(55)
<u>Human Resources</u>						
Employee Services - reduced turnover in Temps Solutions					39	
Management & Strategy - under achievement of vacancy management target					22	
Performance - vacancy management					(34)	
Personnel Services Operations - vacancy management					(255)	(228)
<u>County Treasurer</u>						
Finance Services - staff turnover savings and additional income					(89)	
Other Services - one-off charges for external audit offset by savings in bank charges					26	
Net savings in Authority-wide unfunded pensions					(99)	(162)
<u>Public Health</u>						
Nicotine Replacement Therapy costs					(127)	
Vacancy management					(63)	
Reduced drawdown from reserves					50	
Increased demand against payments by results contracts					140	0
						(1,143)
<u>CARRY FORWARD PROPOSALS</u>						
<u>Digital Transformation & Business Support</u>						
Private Finance Initiative - commitment in respect of facilities						132
						132
CONTRIBUTION (TO) / FROM OUTTURN						(1,011)

BUDGET ADJUSTMENTS 2016/17

	Original Budget £000	2015/16 Brought Forward £000	Virements £000	Final Budget £000
People	316,118	30,254	832	347,204
Place	93,934	5,000	(2,413)	96,521
Corporate Services	33,486	322	(18)	33,790
Pension Contribution Shortfall	5,710		173	5,883
Total Service budgets	449,248	35,576	(1,426)	483,398
Budget Carry Forward Fund		(40,828)		(40,828)
Capital Financing	44,261			44,261
Capital Financing PFI	11,731		2,919	14,650
Interest on Balances	(1,215)			(1,215)
Infrastructure Development		250		250
Efficiency Support for Sparse Areas		89	(19)	70
Other				0
Implementation of the Care Act		2,885	(2,885)	0
Special Education Needs Disability		513	(128)	385
Child Sexual Exploitation Review				0
Dartington School	1,390	1,095		2,485
New Burden's Resilience	5,000		2,000	7,000
Bellwin Scheme Related Emergencies	1,500			1,500
Highways Drainage and Safety Defects	1,000		(1,000)	0
Transformation	1,000		(755)	245
Citizen Advice Bureaux	40		(40)	0
Council Tax Support Partnership	350			350
Adoption Reform and Special Education Needs	488		(488)	0
Insurance			1,356	1,356
Direct Revenue Support for Capital			1,566	1,566
Spending from Reserves	3,798			3,798
Use of Reserves	(3,798)			(3,798)
Contribution to Transformation Reserve	5,000			5,000
Precepts				
Flood Defence	463			463
Inshore Fisheries	326			326
Non-committee budgets	71,334	(35,996)	2,526	37,864
Direct Support for Capital - Flood Defence	250	420		670
School contribution to capital expenditure			(797)	(797)
	520,832	0	303	521,135
Local Service Support Grant	(340)			(340)
New Homes Bonus	(5,370)			(5,370)
New Homes Bonus Adjustment Grant	(230)			(230)
Small Business & Empty Property Rate Relief Grant	(1,254)			(1,254)
Business Rate Cap Compensation Grant	(1,360)			(1,360)
Education Services Grant	(5,816)			(5,816)
Independent Living Fund Grant	(2,820)			(2,820)
Special Educational Needs Grant	(488)			(488)
Rural Services Delivery Grant	(7,409)			(7,409)
Transition Grant	(2,823)			(2,823)
Prison Social Care Grant			(303)	(303)
TOTAL	492,922	0	0	492,922

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ALLOWANCES PAID TO DEVON COUNTY COUNCILLORS

UNDER THE MEMBERS ALLOWANCES SCHEME FOR THE YEAR ENDING 31ST MARCH 2017 Note: Basic & Special Responsibility Allowances and some elements of travelling & subsistence allowances are subject to taxation.

Surname	Inits	Basic Allowance	Special Responsibility Allowance	Mileage and Subsistence Expenses	Carers Allowance
		£	£	£	£
Ball	K	10970.04	0.00	0.00	0.00
Barisic	E	10970.04	0.00	201.21	297.36
Barker	SD	10970.04	16455.00	2,756.23	0.00
Berry	EJ	10970.04	0.00	626.26	0.00
Biederman	F	10970.04	285.55	2,000.39	0.00
Bowden	P	10970.04	2742.96	89.10	0.00
Boyd	A	10970.04	0.00	162.90	0.00
Brazil	JC	10970.04	8000.04	1,997.67	0.00
Brook	FJ	10970.04	5484.96	0.00	0.00
Channon	C	10970.04	1331.38	368.10	0.00
Chugg	C	10970.04	2742.96	1,927.50	0.00
Clarance	C	10970.04	0.00	530.35	0.00
Clatworthy	J	9999.96	20000.04	1,384.30	0.00
Colthorpe	P	10970.04	3999.96	0.00	0.00
Connett	AM	10970.04	5484.96	326.70	0.00
Croad	RF	10970.04	16455.00	5,108.56	0.00
Davis	AV	10970.04	16455.00	3,736.37	0.00
Dempster	A	10970.04	0.00	0.00	0.00
Dewhurst	A	10970.04	0.00	850.84	0.00
Dezart	G	10970.04	0.00	672.30	0.00
Diviani	PA	10970.04	0.00	312.30	0.00
Eastman	AJ	10970.04	0.00	1,259.50	0.00
Edgell	RC	10970.04	2742.96	2,290.61	0.00
Edmunds	M	10970.04	0.00	675.45	0.00
Foggin	OM	10970.04	0.00	0.00	0.00
Gilbert	R	10970.04	2742.96	2,134.55	0.00
Greenslade	BC	10970.04	0.00	2,811.57	0.00
Gribble	G	10970.04	0.00	1,727.75	0.00
Hannaford	R	10970.04	3999.96	169.50	0.00
Hannan	A	10970.04	0.00	77.41	0.00
Hannon	DPO	10970.04	0.00	0.00	0.00
Hart	TJ	9999.96	24999.96	2,547.14	0.00
Hawkins	JD	10970.04	0.00	1,546.92	0.00
Hill	R	10970.04	0.00	0.00	0.00
Hone	J	10970.04	0.00	0.00	0.00
Hook	GN	10970.04	3999.96	319.50	0.00
Hosking	RW	10970.04	0.00	2,111.46	0.00
Hughes	BCJ	10970.04	0.00	0.00	0.00
Hughes	S	10970.04	16455.00	5,409.39	0.00
Julian	J	10970.04	2742.96	8,558.41	0.00
Knight	J	10970.04	2742.96	1,518.80	0.00
Leadbetter	AR	10970.04	16455.00	9,362.38	0.00
Matthews	J	10970.04	353.13	1,210.11	0.00
McInnes	JR	10970.04	16455.00	4,015.90	0.00
Morse	E	10970.04	0.00	0.00	0.00
Moulding	AT	10970.04	11120.78	1,592.73	0.00
Owen	J	10970.04	0.00	0.00	0.00
Parsons	BM	10970.04	16455.00	4,495.50	0.00
Prowse	GJ	10970.04	0.00	0.00	0.00
Radford	RA	10970.04	7096.81	1,021.67	0.00
Randall Johnson	S	10970.04	8000.04	1,212.16	0.00
Rowe	R	10970.04	2710.05	1,269.38	0.00
Sanders	PR	10970.04	2742.96	694.75	0.00
Sellis	D	10970.04	3999.96	1,078.65	0.00
Squires	M	10970.04	0.00	860.61	0.00
Vint	R	10970.04	0.00	0.00	0.00
Way	NA	10970.04	0.00	325.00	0.00
Westlake	RA	10970.04	8000.04	0.00	0.00
Wragg	EE	10970.04	0.00	281.61	0.00
Wright	C	10970.04	0.00	419.40	0.00
Yabsley	JO	10970.04	0.00	870.37	0.00
Younger-Ross	R	10970.04	0.00	0.00	0.00

CAPITAL

7. The Capital Programme

- 7.1 The County Council approved the Capital Programme of £109.628 millions for 2016/17 in February 2016. The Programme was increased by £39.184 millions for previous year underspending and by £20.354 millions for additional items approved by members during the year to give a final Capital Programme of £169.166 millions. Actual Capital Expenditure was £132.515 millions an underspending of £36.651 millions. The table below sets out the position for each spending area:

Capital Expenditure	Budget	Actual Spend	Variation
	£000	£000	£000
Place	137,940	113,563	24,377
People	19,669	12,496	7,173
Corporate	11,557	6,456	5,101
Total	169,166	132,515	36,651

8. Capital Outturn

- 8.1 The County Council delivered a large programme of capital investment in 2016/17. There were a number of significant schemes completed during the year which included the completion of Ivybridge recycling centre, Tithebarn Link Road Phase 2a northern section (Blackhorse Lane) and a number of School expansion schemes.
- 8.2 As set out in the table above, the Capital Programme underspent by £36.651 millions or 22% at outturn (this compares to £46.8 millions or 27% in 2015/16). Within this total £33.582 millions represents slippage across a range of schemes which will be carried forward to future years and £3.069 millions savings achieved in programme delivery.

9. Variation between the delivered Capital Programme and Budget

- 9.1 The 2016/17 net variance totalled £36.651 millions. It is recommended that £25.232 millions are carried forward into the 2017/18 Capital Programme, and £8.350 millions into 2018/19 and beyond. An analysis of the underspending and the carry forward request is set out in the table below:

Programme Variation	Variation	Carry Forward to 2017/18	Carry Forward to 2018/19 and future years
	£ 000	£ 000	£ 000
Place	24,377	18,392	4,133
People	7,173	2,565	3,307
Corporate	5,101	4,275	759
Total	36,651	25,232	8,350

- 9.2 A summary of the main reasons for the variation between spend and budgets is outlined below:

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- Marsh Barton Station - £2.6 Millions due to awaiting design review and approval from project partners and grant award decision from the Department of Transport;
- Barnstable Hub - £2.0 Millions due to final decision on choice of site delaying start;
- Tithebarn Link Road - £1.8 Millions due to delays in the grant award decision from the Department of Transport;
- Roundwell Phase 2 (Barnstable) - £1.3 Millions due to delays in the planning process and subsequent tender process extension;
- Street Lighting improvements - £1.3 Millions due to resourcing issues experienced by main contractor and addressed in 2017/18;
- Dartington Primary - £1.2 Millions due to delays in finalising project plan post legal discussions; and
- Grants to Independent Care Homes - £1 Millions due to a review the strategic plan for this investment.

10.Financing the Capital Programme

- 10.1 The table below sets out how the Council planned to finance its capital spending and the actual sources of funding that were used. The third column shows the funding that will be carried forward into later years, with the final column showing savings from the funding source.

Capital Financing	2016/17 Final Budget	2016/17 Final Outturn	Capital Budgets Carried Forward	2016/17 Capital Budget Savings
	£ 000	£ 000	£ 000	£ 000
Capital Receipts Applied	29,590	18,385	10,894	311
Borrowing	21,248	14,678	6,003	567
External Grants and Contributions	115,627	97,615	16,140	1,872
Revenue Budgets	2,701	1,837	545	319
Total	169,166	132,515	33,582	3,069

- 10.2 The total borrowing required to finance the capital programme in 2016/17 was £14.678 millions. The council was able to meet all borrowing requirements from internal cash resources so did not need to increase external borrowing.

- 10.3 The Council had Capital Receipts unapplied of £21.298 millions at 1st April 2016. Net of the cost of sales, capital receipts of £9.223 millions were received in year from the sale of County land, building and other assets. Having applied £18.384 millions of capital receipts to finance spend, the closing balance for Capital Receipts is £12.137 millions. These movements are shown in the table over:

Capital Receipts	General Receipts	Investing in Devon	Total
	£ 000	£ 000	£ 000
Opening Balance 1st April 2016	11,779	9,519	21,298
Received in year	9,223	0	9,223

Applied to finance spend	(11,488)	(6,896)	(18,384)
Closing Balance 31st March 2017	9,514	2,623	12,137

11. Major Capital Investment

11.1 Place

11.1.1 Highways Capital development and Waste Management - £54 millions outturn spend. The Local Transport Plan (LTP) Maintenance block grant funding has delivered over 750 highway schemes and targeted priority carriageway schemes including surface dressing treatments and preparatory patching works totalling £33 millions and a significant number of bridge schemes £5.9 millions. £5.8 millions has been invested in street lighting columns and lanterns largely funded by the Department for Transport funded Challenge Fund project.

11.1.2 Planning, Transportation and Environment - £47 millions outturn spend. There has continued to be significant investment into the infrastructure of the County during 2016/17 with some works continuing into 2017/18. Schemes include Bridge Road widening improvements, Portmore roundabout, Roundswell pedestrian and cycle bridge, Newcourt Junction, Deep Lane northern section, Tithebarn Link Road, A39 Westaway Plain and Kingskerswell traffic calming and pedestrian and cycle scheme. Further investment has also been made in preparing schemes including, the A30/A303 (Honiton to Somerset border), North Devon Link Road (M5 – Bideford), Tiverton Eastern Urban Extension access, A382 and Houghton Barton link (Newton Abbot), Deep Lane southern section, Bere Alston – Tavistock rail link. Flood improvement schemes have commenced in 2016/17 at Braunton and Millbrook and the authority has made its final contribution towards the Environment Agency led Exeter flood alleviation scheme. The 2016/17 PT&E schools capital programme has provided places which have sustained the high level of parent preference for September admissions to primary, secondary and special schools.

11.1.3 Economy and Enterprise. Somerset County Council confirmed that Devon's contribution towards the Superfast Broadband programme in 2016/17 totalled £9.5 millions. British Telecom have been installing fibre broadband and connecting homes across the two counties since 2013/14 but government and European grants have funded this until 2016/17.

11.2 People

11.2.1 Adult Social Care and Health. Significant programme spend in-year included:

- £5.7m of Disabled Facilities Grant for which the District Councils take a lead although County led adaptations to people's homes;
- Better Care Fund capital spending of £1.1 millions was used to acquire a wide range of equipment for use by elderly and disabled people in the community; and
- Final expenditure of £400,000 was incurred in-year to complete the renovation works at Abbey Rise in Tavistock, a modern flexible work space with upgraded facilities to support people with learning and physical difficulties.

11.2.2 Education and Learning. The central 2016/17 Education and Learning capital programme has primarily been looking at pressures in Early Years education places and this year investments has been made to manage these, place shortages have this year been addressed with the expansion of Clapper Lane

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Childrens Centre in Honiton and Westcliff Childrens Centre in Dawlish. The schools have also been continuing to invest in their ICT infrastructure and equipment with many schools now looking to the authority's vehicle & equipment loans pool to purchase their ICT and spread the costs of the IT over several years, thereby giving them the ability to maintain current up to date technology without having to find substantial sums of funding up front.

11.2.3 Schools have been managing substantial capital resources funded by a multitude of sources which they have been putting to good use across the school network. Capital improvements have seen several large investments including expansions at:

- Woolacombe School (Busy Bees Nursery);
- Chudleigh C of E Community Primary School;
- Fremington Community Primary & Nursery School;
- Tiverton High School;
- Okehampton College; and
- Ellen Tinkham School (Bodley House).

11.2.4 There have also been substantial renovations and facility expansions at:

- Stokenham Area Primary School;
- Modbury Primary School;
- Lew Trenchard C of E Primary School;
- West Croft School; and
- Ellen Tinkham School.

11.2.5 Children's Services. The 2016/17 Children's Social care capital programme has continued to see external investment in the Atkinson Unit with six successful bids to improve the site. The enhanced facilities will continue to ensure that the unit offers the highest level of protection to the most vulnerable in our society. There has also been continued investment in supporting the District Councils in providing top up financial grants to accommodate families of disabled children who need major adaptation in order to continue to support their children in living at home.

11.3 Corporate Services

11.3.1 The ICT Capital programme with an in year budget of £3.9m spent £2.8m with the main focus of delivery being upgrades required to achieve the Windows 10 upgrade across the authority. This work is ongoing in 2017/18.

12.Prudential Indicators

12.1 The prudential indicators are produced in line with the national code of practice that was drawn up by the Chartered Institute of Public Finance and Accountancy. This requires local authorities to monitor its overall debt level via a set of "prudential indicators", in order to form a judgement about affordable, prudent and sustainable levels of debt. The definition of debt for the Prudential Indicators that were calculated and agreed within the Capital Programme for 2016/17 includes both borrowing and other long term liabilities such as PFI schemes.

- 12.2 The indicators are set annually when the budget is agreed and we report the final position of the indicators against the estimate within the outturn report. The indicators are adjusted after the budget is agreed for technical accounting changes. In 2016/17 no prudential indicators were breached.

13. Capital Financing Requirement (CFR)

- 13.1 The Capital Financing Requirement reflects the cumulative capital expenditure that Devon County Council has yet to finance. It is alternatively known as the underlying debt requirement.

2015/16		2016/17
£000		£000
710,969	Opening Capital Financing Requirement	732,815
710,969		732,815
	Capital Investment	
108,359	Property, Plant and Equipment	106,125
	Energy from Waste Plant	
69,323	Initial recognition of asset	0
(43,412)	less deferred credit	0
	14 Heritage Assets	15
	630 Intangible Assets	158
	19,456 Revenue Expenditure Funded from Capital under Statute	26,217
	Sources of Finance	
(10,960)	Capital Receipts	(18,385)
(99,167)	Government Grants and other contributions	(100,954)
	Sums set aside from revenue:	
(2,259)	Direct revenue contributions	(1,837)
(20,413)	Statutory provision for the financing of capital investment	(20,318)
	Capital provision	
6,301	Creation of Long Term Provision	6,026
(6,026)	Provision remaining at year end	(5,758)
732,815	Closing Capital Financing Requirement	724,104
	Explanation of Movements in Year	
16,073	Increase in underlying need to Borrow (unsupported by government financial assistance)	14,678
275	Decrease in Capital Provision	268
23,990	(Reduction)/ Increase in PFI liability	(1,870)
(18,492)	Increase in the provision for repayment of debt	(21,787)
21,846	Increase/(decrease) in Capital Financing Requirement	(8,711)

14. Authorised Limit and the Operational Boundary for External Debt

- 14.1 External borrowing totals £507.850 millions and other Long Term Liabilities total £132.670 millions. The actual external debt for 2016/17 was therefore £640.520 millions. The authorised limit for external debt of £838.859 millions was not breached. The operational boundary for external debt of £813.859 millions was not breached.

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15. Ratio of Financing Cost to Net Revenue Stream

- 15.1 This key ratio shows the percentage of the net revenue budget which is used to finance debt. The long term commitment is that the capital finance charges excluding the capital financing costs included within the PFI and other PFI type of arrangements do not go above 12%. On an annual basis, the County Council when assessing the affordability of the programme estimate the relevant percentage.

	Including PFI Charges	Excluding PFI Charges
Total Financing Costs	£ 58.791 millions	£ 43.046 millions
Net Revenue Stream	£492.922 millions	£492.922 millions
Actual	11.88%	8.93%
Estimated	11.93%	8.73%
Variance	(0.05)%	0.20%

16. Determination of Capital Finance

- 16.1 The Authority is required to determine its use of capital finance as defined by capital control legislation. The following use of capital finance sources, as outlined in the table shown on page 24 and compared to budget, is proposed:
- 16.2 That internal borrowing totalling £14.678 millions is used as authorisation to finance capital expenditure.
- 16.3 That expenditure of £97.615 millions is funded from government grants and external contributions to meet expenditure in 2016/17 for capital purposes.
- 16.4 That useable capital receipts of £18.385 millions are applied to meet expenditure in 2016/17 for capital purposes.
- 16.5 That remaining capital expenditure of £1.837 millions is met from revenue budgets.

CAPITAL OUTTURN SUMMARY STATEMENT 2016/17

	Revised Programme	Total Spending	Slippage and Other Variations	<i>Impact on 2017/18 Programme Increase / (Decrease)</i>	<i>Impact on 2018/19 Programme Increase / (Decrease)</i>	<i>Impact on 2019/20 Programme Increase / (Decrease)</i>	<i>Impact on 2020/21 Programme Increase / (Decrease)</i>	<i>Impact on 2021/22 Programme Increase / (Decrease)</i>
	(1) £'000	(2) £'000	(3) £'000	(4) £'000	(5) £'000	(6) £'000	(7) £'000	(8) £'000
CORE PROGRAMME								
Place	137,940	113,563	(24,377)	(18,392)	(4,133)	0	0	0
People	19,669	12,496	(7,173)	(2,565)	(3,307)	0	(150)	(1)
Corporate	<u>11,557</u>	<u>6,456</u>	<u>(5,101)</u>	<u>(4,275)</u>	<u>(759)</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	169,166	132,515	(36,651)	(25,232)	(8,199)	0	(150)	(1)
TOTAL TO BE FINANCED		<u><u>132,515</u></u>						

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CAPITAL PROGRAMME 2016/17 – STATEMENT OF DETERMINATION OF CAPITAL FINANCE

	Recommended Financing £000	Recommended Financing £000
SOURCES OF FINANCE		
External Resources		
Grants and Contributions	97,615	
Total External Sources		97,615
Internal Resources		
Useable Capital Receipts Applied	18,385	
Internal Borrowing	14,678	
Revenue Budgets and Earmarked Reserves	<u>1,837</u>	
	34,900	
Total Internal Sources		34,900
Total Sources of Funding		<u><u>132,515</u></u>

Capital Receipts	
Balance at Start of Year	21,298
Received During Year	9,223
Applied to Financing	<u>(18,385)</u>
Balance at Year End	<u><u>12,136</u></u>

Note

Analysis of balance of capital receipts at year end

Airport Receipts earmarked for IID	2,623
General Receipts	<u>9,513</u>
	<u><u>12,136</u></u>

DEBT (Monies Owed to the Authority) as at 31st March 2017

17.

- 17.1 The County Council collects income from a wide range of sources to fund its services. Large elements of income are received automatically from Government and District Councils in respect of Revenue Support Grant, share of National Non-Domestic Rates and Council Tax.
- 17.2 Technology is also used to receive income via the telephone and the internet. Debt recovery agents continue to be used where non-sensitive debt has proved difficult to collect.
- 17.3 Income is also received from the raising of invoices to users of service. Accounts are credited with the income value with immediate effect, however, it is often the case that debtors take time to settle accounts and there is a time delay between accounting transactions and cash income.
- 17.4 The County Council has a number of debtor systems which encompass Adult Care functions, Devon Pensions Fund items and Corporate services.
- 17.5 As at 31st March 2017, the situation was as follows :-

	Corporate System	Adult Care Functions	Devon Pension Fund	Total
Total Invoiced Income for the Year	£194.116 million (£176.975 million at 31st March 2016)	£46.661 million (£42.694 million at 31st March 2016)	£8.485 million (£7.846 million at 31st March 2016)	£249.262 million (£227.515 million at 31st March 2016)
Outstanding debt older than 3 months	£3.053 million (£2.486 million at 31st March 2016)	£11.554 million (£10.527 million at 31st March 2016)	£0.080 million (£0.064 million at 31st March 2016)	£14.687 million (£13.077 million at 31st March 2016)
Percentage of Debt which is older than 3 months relative to whole year value	1.57% (1.4% at 31st March 2016)	24.7% (24.7% at 31st March 2016)	0.94% (0.82% at 31st March 2016)	5.89% (5.75% at 31st March 2016)

- 17.6 In terms of Corporate debt, active management of items throughout the year and recovery of a number of large value debts has helped to keep debt levels at a relatively low level, albeit with an increase compared to outstanding balances at the end of 2015/16 financial year.
- 17.7 Within the Adult Care Functions, elements of Residential Care debt are underwritten by property charges. At the year end, the level of debts over 3 months old secured by legal charge total £8,500,823 (£8,027,845 at 31st March 2016) and reduce the percentage of outstanding debt to 6.5% (5.9% at 31st March 2016).

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- 17.8 An On-Street Parking system records monies owed for this service area. The nature of the individual debt is low value. At the year-end, gross debt totalled £716,737, with a bad debt provision of £441,295. The net debt was £275,442. Enforcement agents are used to recover related debt where appropriate.
- 17.9 Invoiced income in respect of the Devon Pension Fund is comparatively low in percentage terms and relates to a small number of debtors.
- 17.10 To mitigate the effect of non-recovery of debt, Provisions have been established to write-off corporate items which prove non-recoverable.

Mary Davis

Electoral Divisions: All

Local Government Act 2003

Contact for Revenue Enquiries:

- Mary Davis Tel No: 01392 383310
- Angie Sinclair Tel No: 01392 380711

Contact for Capital Enquiries:

- John Bougeard Tel No: 01392 383457

Background Paper Date 6th June 2017

Detailed financial working papers and systems

Executive Member: Councillor John Clatworthy

ALLOCATION OF ADDITIONAL SOCIAL CARE FUNDING

Report from the Head of Adult Commissioning and Health

Please note that the following recommendations are subject to consideration and determination by the Cabinet (and confirmation under the provisions of the Council's Constitution) before taking effect.

Recommendation: that the Cabinet sign off in principle the proposed allocations for the £15.1m additional funding for 2017/18 outlined within this report:

- £4m for strategic county wide investments
- £11.1m for locality footprints and specialist systems

The proposals need to be signed off locally before we can spend the money, and will also need to be agreed by the two CCGs. The resulting schemes will form part of our Better Care Fund (BCF) Plan. The BCF plan is required to be formally endorsed by the Health and Wellbeing Board before being submitted to the NHS England Better Care Fund support team for formal approval.

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#### 1. Introduction

1.1 The Better Care Fund is the only mandatory policy to facilitate integration. It brings together health and social care funding, with additional social care money announced in the Spring Budget 2017.

1.2 There are specific conditions around how we use the money, and the metrics against which we will be measured, with a particular focus on reducing the numbers of delayed transfers of care. There are also conditions about how we need to work with Clinical Commissioning groups in agreeing proposals for how we use the money. We are awaiting further guidance on whether there will be a requirement for formal sign off by s151 Officer.

1.3 For Devon, the additional money amounts to:

| 2017/18 | 2018/19 | 2019/20 |
|---------|---------|---------|
| £15.15m | £10.15m | £5.04m  |

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The specific conditions are as follows:

- Plans to be jointly agreed
- NHS contribution to adult social care is maintained in line with inflation
- Agreement to invest in NHS commissioned out-of-hospital services, which may include 7 day services and adult social care
- Managing Transfers of Care (a new condition to ensure people's care transfers smoothly between services and settings)

1.4 Beyond this, we can agree locally how the fund is spent over health, care and housing schemes or services, but we need to agree how this spending will improve performance in the following four areas:

- Delayed transfers of care
- Non-elective admissions (General and Acute)
- Admissions to residential and care homes
- Effectiveness of reablement

1.5 There are also conditions about how we need to work with Clinical Commissioning groups in establishing the fund:

- A requirement that the BCF is transferred into one or more pooled funds established under section 75 of the NHS Act 2006
- A requirement that Health and Wellbeing Boards jointly agree plans for how the money will be spent, with plans signed-off by the local authority and Clinical Commissioning Groups.

1.6 This report recommends how this funding should be allocated, in line with national conditions, and targeting specific areas of local need. The proposals have been developed in consultation with health and social care staff and providers.

## 2. **Context**

2.1 At the Spending Review 2015, the Government announced its ambition to integrate health and social care by 2020 so that to service users it feels like one service. An integrated health and social care service should have full geographical coverage, with clear governance and accountability arrangements. We are expected to set out how we expect to progress to further integration by 2020 in our 2017-19 Better Care Fund plan.

2.2 Inappropriate admissions and unnecessarily long periods in hospital can be harmful, for older people in particular. The longer older people remain in hospital, the harder it is for them to regain their independence and return home, and the more likely they are to be readmitted.

- 2.3 We have an ageing population which is also growing faster than the national average, increasing future demand for health and care services. If we help people identify their strengths and link them in with appropriate support, there is potential to help them remain independent and less reliant on care. We also need to recognise that some of the support that people require can be delivered within their community and by the voluntary sector.
- 2.4 People with mental health conditions and those with disabilities do not always have access to the level of support they need, which impacts on their general health and wellbeing. The additional funding ensures we can quickly address this inequity.
- 2.5 We also have difficulties with recruiting and retaining staff, in common with many of our providers, and we need to consider new ways of using and developing the skills of our workforce.
- 2.6 We aim to use the additional funding to address each of these priorities, as well as following national best practice guidance on reducing delayed transfers of care.

### **3. Proposal**

- 3.1 Outline proposals were sought from staff in health and social care, using the national high impact change guidance as the proposal template. Proposals needed to meet the conditions set out above, along with a clear indication of how they will improve the lives of people in our communities.
- 3.2 Proposals were grouped according to high impact change area and analysed at a multi-agency care and health leadership team away day, with each of our partner organisations represented.
- 3.3 The Programme Delivery Executive Group (PDEG) will receive a summary of investment proposals for mutual challenge and assurance.
- 3.4 This is not recurrent money and will not be added to system baseline budgets. Investments should not increase overall system costs and should be considered as 'bridging' resources to implement the STP new models of care.
- 3.5 We propose to take a zero-tolerance approach towards delayed transfers of care, with funding decisions informed by the following principles:
  - Addresses local reasons for delayed transfers of care by improving flow and reducing demand.
  - Manages demand through an improved short term services offer and developing individual and community resilience
  - To implement the STP priorities of:
    - Single assessment process
    - Single point of access
    - Rapid response
  - Strategically designed and agreed in principle but locally delivered

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- 3.6 The recommendation is for allocations as follows:
- Strategic county wide investments – for areas where it makes sense to design change on a county wide basis
  - Locality footprints and specialist systems:
    - North, East, South and West localities
    - Mental health
    - Disabilities
- 3.7 The high impact changes recommendations focus on getting people out of hospital, but we would also do this by reducing demand through prevention and increasing sufficiency and innovation in the personal care and care homes markets, plus developing community resilience through the voluntary and community sector.
- 3.8 We will agree funding allocations for 2017/18 now, and plan 2018/19 and 2019/20 as part of the standard local authority and NHS financial planning arrangements.
- 3.9 The locality allocations would be based on their over 75s population:

|       |     |
|-------|-----|
| North | 20% |
| East  | 49% |
| West  | 11% |
| South | 20% |

We would also distribute the community resilience / prevention allocation of £1m across localities based on the same percentage split.

| Area of spend (locality / system) | Over 75 population |
|-----------------------------------|--------------------|
| Mental Health                     | £2m                |
| Disability                        | £2m                |
| <b>Specialist Sub Total</b>       | <b>£4m</b>         |
| North                             | £1.2m              |
| East                              | £3.0m              |
| West                              | £0.7m              |
| South                             | £1.2m              |
| <b>Locality Sub Total</b>         | <b>£6.1m</b>       |
| Community Resilience/ Prevention  | £1m                |
| <b>TOTAL</b>                      | <b>£11.1m</b>      |

| Area of spend (county wide)                                                                                 | 17/18 | Detail                                                                                                                                                                                         |
|-------------------------------------------------------------------------------------------------------------|-------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Market sufficiency<br/>Care Homes – fee rates and innovations</b>                                        | £2m   | Increased activity putting pressure on unit rates. New joint contracting model provides vehicle for individualised approach and innovations. Any investment is likely to be <u>recurrent</u> . |
| <b>Market Sufficiency<br/>Personal care innovations</b>                                                     | £1m   | Unit rates not an issue, but innovations on new roles, better support for staff and better retention to improve supply. Opportunity for trusted provider model. May not be recurrent.          |
| <b>Assistive Technology</b>                                                                                 | £0.5m | Under-developed model in Devon. Agree strategy and investment <u>one off</u> in delivery to support prevention and new model of care.                                                          |
| <b>System development:<br/>- New model of care<br/>organisational development<br/>- New workforce roles</b> | £0.5m | Facilitation/support for practitioners to implement new model of care and design of new roles and competence to implement.                                                                     |
| <b>Total</b>                                                                                                | £4m   |                                                                                                                                                                                                |

3.10 We will be required to report quarterly to NHS England against a core set of metrics, and we will also establish a local performance monitoring report jointly with health partners and locality boards. Local performance monitoring will be via the System Delivery Group.

3.11 The Health and Care Overview and Scrutiny committee will also consider the proposals for assurance and challenge.

#### **4. Options/Alternatives**

4.1 The conditions governing the use of the additional money mean there are few alternatives to consider.

4.2 An alternative funding split would be to allocate per locality based on the current rates of delayed transfers of care, but this would mean a larger proportion allocated to the Eastern locality and would raise questions about equity of funding across the DCC footprint.

#### **5. Consultations/Representations/Technical Data**

5.1 Each system will be required to evidence impact on local citizens as well as system wide impact, and proposals will be developed with engagement from representative groups.

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## **6. Sustainability, Carbon Impact and Equality Considerations**

- 6.1 These will all be considered as part of the business cases for individual schemes. Equality considerations have informed the overall funding allocation proposals, and Equality Impact and Needs Assessments will be undertaken for each service.

## **7. Legal Considerations**

- 7.1 The lawful implications and consequences of the proposals have been considered in the development of the proposals, and we have followed national guidance throughout. The resulting plan will also be subject to national scrutiny by the NHS England Better Care Fund support team.

## **8. Risk Management Considerations**

- 8.1 This proposal has been assessed and all necessary safeguards or actions have been taken to safeguard the Council's position. A risk register will be maintained and will form part of the Better Care Plan which will be reported to the NHS England Better Care Fund support team.

## **9. Summary**

- 9.1 Additional social care funding (through the Better Care Fund) was announced in the Spring Budget 2017. For Devon for 2017/18, this is £15.1m.
- 9.2 There are specific conditions around how we use the money, and the metrics against which we will be measured, with a particular focus on reducing the numbers of delayed transfers of care. There are also conditions about how we need to work with Clinical Commissioning groups in agreeing proposals for how we use the money.
- 9.3 This report recommends how this funding should be allocated, in line with national conditions, and targeting specific areas of local need. The proposals have been developed in consultation with health and social care staff and providers.
- 9.4 We propose allocations as follows:
- £4m for strategic county wide investments
  - Locality footprints and specialist systems:
    - £6.1m split between North, East, South and West localities
    - £2m mental health
    - £2m disabilities
    - £1m community resilience and prevention

**Tim Golby**  
**Head of Adult Commissioning and Health**

**Electoral Divisions:** All

Cabinet Member for Adult Social Care & Health Services: Councillor Andrew Leadbetter

Chief Officer for Adult Care and Health: Jennie Stephens

LOCAL GOVERNMENT ACT 1972: LIST OF BACKGROUND PAPERS

Contact for Enquiries:

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Room: Annexe, First Floor Office

| <u>Background Paper</u> | <u>Date</u> | <u>File Reference</u> |
|-------------------------|-------------|-----------------------|
|-------------------------|-------------|-----------------------|

Nil





ACH/17/67  
Cabinet  
14 June 2017

## PROMOTING INDEPENDENCE IN DEVON

### Report from the Head of Adult Commissioning and Health

*Please note that the following recommendations are subject to consideration and determination by the Cabinet (and confirmation under the provisions of the Council's Constitution) before taking effect.*

**Recommendation:** that Cabinet adopt the proposed policy 'Promoting Independence in Devon'.

#### 1. The Importance of Promoting Independence

- 1.1 Promoting independence and prevention are emphasised as duties for local authorities in legislation relating to adult social care, children's services and public health functions including in the Care Act 2014.
- 1.2 Local authorities and their partners, including NHS bodies in their area, are required to co-operate in fulfilling these duties.
- 1.3 Promoting independence and prevention are also key themes running through the Wider Devon Sustainability and Transformation Plan which sets out ambitious plans to improve health and care services and outcomes for people across Devon in a way that is clinically and financially sustainable
- 1.4 Losing independence is one of people's greatest fears: a survey on attitudes to ageing in the UK found that the two greatest concerns were ill health and losing independence and/or becoming dependent on others
- 1.5 Engagement with people in Devon has confirmed that most wish to remain as independent as possible for as long as possible. When non institutional solutions to care and health needs are available and people are confident in them, they are the preferred solutions to meeting need.
- 1.6 The health and care system locally and nationally is under pressure as a result of rising demand, increasing complexity of need, rising costs and limited resources.
- 1.7 Devon County Council is providing more social care than is typical in similar Local Authorities and the difference is not fully explained by our population profile.
- 1.8 While we have a relatively aged and ageing population in Devon, we are also experiencing rising demand for our services from younger adults with complex needs such as learning disabilities.

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- 1.9 Similarly, Devon is experiencing increasing demands and pressures in the health system that is resulting in avoidable growth in dependency with people spending more time in hospital than is clinically necessary.

## **2. What is independence**

- 2.1 'Promoting independence' means enabling as many residents as possible to stay healthy and active in their communities for as long as possible with the minimal necessary reliance on publicly funded health and care services.
- 2.2 Independence is enabled through personal resilience and resourcefulness, strong family and social relationships, and access to community opportunities and support.
- 2.3 The skills and abilities to overcome crises and other challenges are gained through drawing on personal strengths and experiences as well as support from family, friends and others in the community.
- 2.4 Positive childhood experiences develop resilience and the ability to maintain supportive networks. These networks can prevent, reduce and delay the onset and impact of emotional and physical crises throughout people's lives.
- 2.5 Being connected to the local community can be at the heart of people's sense of wellbeing and can make an essential contribution to physical health and resilience. Access to infrastructures that enable physical mobility and digital communication is key to maintaining family and social relationships.
- 2.6 Being employed and living in appropriate housing conducive to health and wellbeing are important enablers of independence. They can determine the context in which people experience and overcome physical and mental health challenges.

## **3. How 'promoting independence' is being delivered across Devon**

### **3.1 Devon County Council**

- 3.1.1 Devon County Council's 'Operating Model' includes the following principles that all of our strategies should follow:

- Focus on shared outcomes by developing an outcomes framework based on 'Better Together', and working as one council and in partnership to achieve it;
- Reduce future demand and costs by moving resources from acute services towards prevention and early intervention;
- Enable citizens and communities to manage their own wellbeing by personalising more services, offering more opportunities for communities to support themselves, and accelerating the devolution of power and resources;
- Encourage the public, community, voluntary and private sectors to work better together to achieve outcomes and extend choice.

- 3.1.2 The proposed new 'Promoting Independence' policy in Appendix A builds on these principles.

- 3.1.3 The new policy will not change any existing duties or policies but provides a statement of our increased emphasis on working with people, communities and partners to promote independence in Devon.
- 3.1.4 Our approach to promoting independence will be to encourage and enable people to use universal services and sources of community and social support as solutions to their needs wherever possible.

## **Adult Care and Health**

- 3.1.5 The Adult Care and Health functions have developed a 'Promoting Independence in Devon' plan to ensure that the principles of promoting independence run through their commissioning strategies and operational practice.
- 3.1.6 Staff training is already emphasising a preventative and strength base approach and key adult social care policies and guidance are being restated with a focus on helping people to maximise their independence.

## **Children's Services**

- 3.1.7 Helping children and young people to grow up in safe and nurturing environments and develop resilience enables them to prepare for independence as adults.
- 3.1.8 The principles outlined in this paper and in the Policy can be used to support young people to move into adulthood equipped with the resources and abilities they need to be as independent as possible.

## **Communities, Public Health, Environment and Prosperity**

- 3.1.9 The Promoting Independence Policy is based on the needs of our population as set out in [Devon's Joint Strategic Needs Assessment](#).
- 3.1.10 Supporting people to avoid illness and make healthy lifestyle choices through initiatives such as [onesmallstep.org.uk](https://onesmallstep.org.uk) promotes independence and helps to prevent, reduce and delay the need for other health or social care services.
- 3.1.11 Communities that are connected, resilient and empowered to represent themselves are more likely to provide mutual support to members of those communities as well as reaching out to others.
- 3.1.12 Opportunities and encouragement to volunteer can make significant contributions to the health and wellbeing of volunteers as well as those they are providing help to.
- 3.1.13 Approaches to community development and social prescribing are being developed through [Integrated Care For Exeter \(ICE\)](#) with the potential to extend the learning across the county.
- 3.1.14 Enabling people to travel safely, including using public transport, is an important factor in developing social and life skills and maintaining the

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social connections that are essential to independence e.g. with the initial support of travel trainers.

## Information and Communication

3.1.15 Devon County Council and its partners have a key role to play ensuring people have access to information, advice and guidance that will enable them to make healthy lifestyle choices.

3.1.16 We provide information online, by telephone and in person to support people plan for when their health and care needs may increase, and to manage new health and care needs as they arise.

3.1.17 Support information and advice may be accessed from a range of other sources without the need to contact individual council or health services directly. These include:

- Developing [Devon's accessible information and advice service](#)
- The [pinpointdevon.co.uk](http://pinpointdevon.co.uk) directory of community services in Devon;
- The [onesmallstep.org.uk](http://onesmallstep.org.uk) service to help the people of Devon make healthier lifestyle choices;
- [NHS Choices](#);
- Online and helpline information from National and local charities and independent organisations.

## 3.2 Partnership working, including with the NHS

3.2.1 We aspire to develop a system-wide approach to supporting people to prevent, reduce and delay the need for care and support based on the principles of promoting independence.

3.2.2 The proposed policy is a model that could be adopted and adapted by other local authorities and NHS bodies in the Wider Devon health and care system.

3.2.3 The aims of the policy are aligned with and underpin major strategic plans across Devon including:

- [The Wider Devon Sustainability and Transformation Plan](#);
- Employment, economy, enterprise and investment strategies such as the [Heart of the South-West Productivity Plan](#).

3.2.4 All public services, including those that make-up Devon's health and care system, have a role in promoting independence, enabling people to feel confident that they can take responsibility for their health and needs, and that they can be empowered to do so within their community.

3.2.5 All services need to be able to provide quality and timely information and advice on prevention and self-care so people feel empowered to meet their own needs and access support that will maximise their independence.

3.2.6 We have strong relationships across the health and care system in Devon and can build on a range of successful initiatives already promoting independence, these include:

- [Integrated Care For Exeter \(ICE\)](#) uses a collaborative approach to ensure that people's independence is maximised in the Exeter area.
- Devon County Council's [Public Health service has received international recognition](#) for working collaboratively with community and environmental organisation to help promote healthy lifestyles by encouraging and enabling use of Devon's natural environment.
- The Improving Access to Psychological Treatments (IAPT) services is enabling people in Devon with common mental health problems to access support to maintain or return to employment and enter into education or training.
- Information, advice and support services to carers.
- Our network of dementia cafes encouraging mutual support.

3.2.7 Examples of how front line staff in all public services can help to promote independence are given in Appendix B.

**Tim Golby**  
**Head of Adult Commissioning and Health**

**Electoral Divisions:** All

Cabinet Member for Adult Social Care and Health Services: Councillor Andrew Leadbetter

Chief Officer for Adult Care and Health: Jennie Stephens

LOCAL GOVERNMENT ACT 1972: LIST OF BACKGROUND PAPERS

Contact for Enquiries:

Damian Furniss, Senior Manager, Adult Commissioning and Health

Tel No: 01392 382300

Room: Annexe, First Floor Office

Background Paper                      Date                      File Reference

Nil

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## Appendix A

### Promoting Independence Policy

#### 1. Introduction

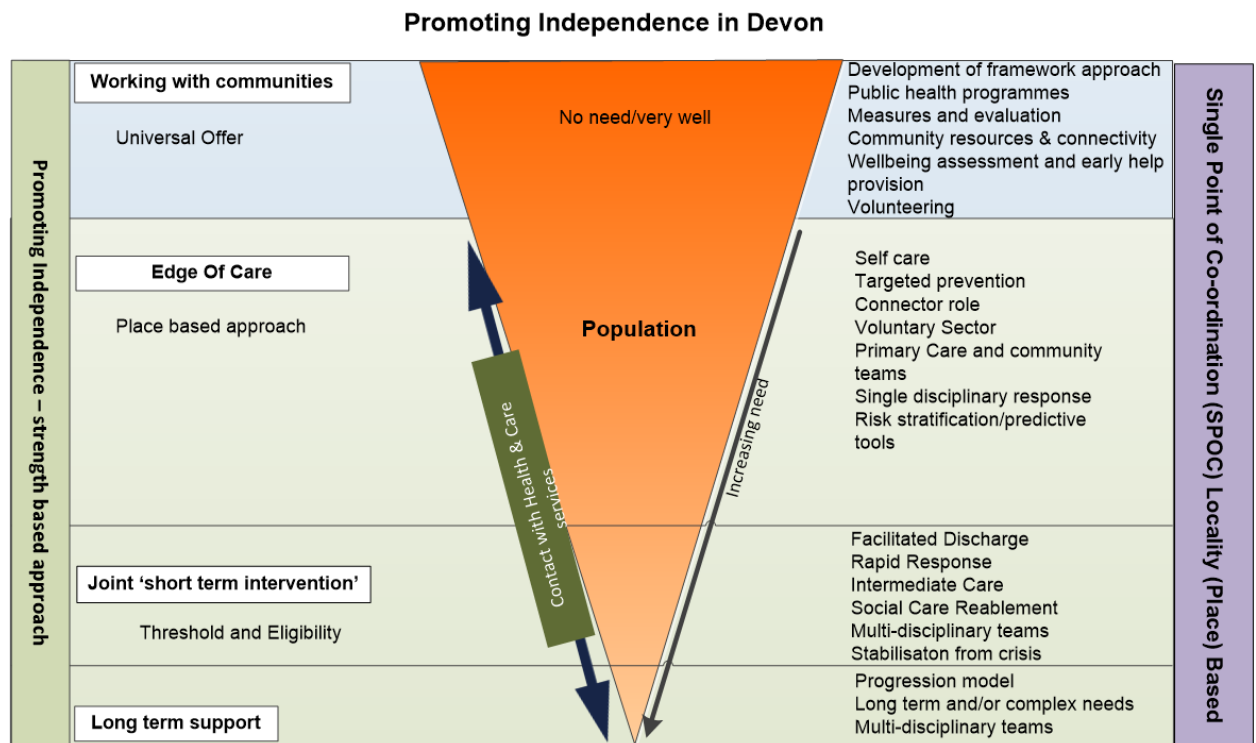
- 1.1 Promoting independence and prevention are emphasised as duties for local authorities in legislation relating to its adult social care, children's services and public health functions including in the Care Act 2014.
- 1.2 Local authorities and their partners including NHS bodies in their area are required to co-operate in fulfilling these duties.
- 1.3 This Promoting Independence Policy is based on the needs of our population as set out in Devon's Joint Strategic Needs Assessment JSNA and its aims are aligned with and underpin major strategic plans including the Wider Devon Sustainability and Transformation Plan.
- 1.4 This policy recognises that:
  - 1.4.1 Losing independence is one of people's greatest fears.
  - 1.4.2 People consistently tell us that they wish to remain as independent as possible for as long as possible.
  - 1.4.3 Evidence tells us that the support we provide can often make someone more dependent on care, rather than aiding recovery and allowing them to overcome problems for themselves.
  - 1.4.4 Supporting people to be independent for longer enables us to better manage demand for our services, ensuring our limited resources are focussed on those with greatest need.

#### 2. Defining promoting independence

- 2.1 'Promoting independence' means enabling as many residents as possible to stay healthy and active in their communities for as long as possible with the minimal necessary reliance on publicly funded health and care services.
- 2.2 There are four key aspects to promoting independence:
  - **Personal strengths and preferences**  
We will focus on people's strengths and the things that matter to them, encouraging them to draw on their own resources to build resilience and achieve their desired outcomes.
  - **Relationships**  
We will support people to maintain and develop rewarding social and family relationships.
  - **Community links**  
We will enable people to make connections with other people, groups and universal services in their communities.
  - **Supportive communities**

We will work with partners to develop communities and community groups, and encourage them to make the most of their ability to support people.

- 2.3 The diagram below outlines the approach that Devon County Council is taking to promoting independence:



### 3. The policy

- 3.1 The new policy does not change any existing duties or policies but provides a statement of our increased emphasis on working with people, communities and partners in promoting independence in Devon.
- 3.2 We will continue to comply with our statutory duties under the Care Act 2014 to meet the eligible care needs of the most vulnerable adults where these cannot be met by other means.
- 3.3 The Care Act, along with legislation pertaining to children's services and public health, also requires councils to aim to prevent, reduce or delay the onset of health and care needs and to promote independence.
- 3.4 This Policy expresses how we will comply with these requirements to promote independence by enabling people to use universal services and sources of community and social support wherever possible and by developing models of care that best enable rehabilitation, reablement and recovery.
- 3.5 In doing so, we will endeavour to:
- Work with people to enable them to use community and social support, universal service, technology and other resources to meet their needs and promote their wellbeing.

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- Work with communities and service providers to develop their ability to support individuals efficiently and in a way that promotes their independence.
- Design services to enable better outcomes for people while ensuring their financial and clinical sustainability by managing demand.

## **Preparing children and young people for independence in adulthood**

- 3.6 All children should have a good start in life with skills learnt and resilience developed to prepare them for a healthy and productive adulthood and to live well as they age. We will intervene early when this is not the case.
- 3.7 Our role is to support children to develop and sustain their social and leisure networks, whenever possible from within their home environment. Children will be supported to learn how to self-manage their own mental or physical health in preparation for transition into adulthood where they can be further supported to maintain their independence.

## **Resilient and independent people and communities**

- 3.8 Our role is to guide people and their carers to maximise their opportunities for independence so that they feel a sense of purpose and can do the things that matter to them, whatever their circumstances.
- 3.9 We recognise the importance of a person's own home, community, social relationships and networks and how these can shape a person's identity and contribute to their independence. These areas of strength will be how and where the majority of people's needs are met in the first instance, without the need for formal care and support. We will focus on people's social networks as the reason and reward for improved independence.
- 3.10 We will continue to aim to enable people to access their community and sources of support. This will be achieved through facilitating travel, access and communication so people can maintain social relationships and networks.
- 3.11 We encourage a strengths based approach to self-care and support people to retain their independence for as long as possible by building on their own abilities, support from family and friends, and their wider community including the voluntary sector.
- 3.12 Where appropriate we will work with our partners to provide support to people so they can gain and remain in employment and live in housing that enables maximum independence.

## **Information and Communication**

- 3.13 Quality advice and information is an empowering and important way of promoting independence. Devon County Council and other public services in Devon have a key role to play to ensuring people have access to information that will enable them to make healthy lifestyle choices.
- 3.14 We will provide information to help people plan for when their health and care needs may increase, and to manage new health and care needs as they arise. Information and advice may be accessed from a range of other



sources, without the need to contact individual council or health services directly.

- 3.15 Our role is to help enable people to access advice and information without the need to contact us in the first instance. However, when they do we will make good quality information and advice accessible that supports everyone to make healthy and positive lifestyle choices. This will enable independence into old age, this includes access to advice on planning for later life when needs may develop or increase.

## **We share the responsibility to make the right choices**

- 3.16 We will aim to support and inspire individuals, families and communities to be resilient and independent by making the healthy and positive lifestyle choices the easiest choices.
- 3.17 Our role is to connect people to the best solutions that can help to delay or meet their needs. We fully embrace assistive and digital technology and online solutions where appropriate and encourage their use.
- 3.18 We will work jointly and collaboratively across our public sector partners to promote independence. We support people to make good decisions on key aspects of their life such as employment and housing so that the right conditions and environments are created to enable people with mental and physical health needs to learn and re-learn the skills necessary to remain in the community.

## **Early intervention and support when in crisis**

- 3.19 When people are in need and in crisis, our role is to intervene early and provide support that builds on their existing strengths to maximise their independence.
- 3.20 Any support will focus on maximising opportunities for recovery and recuperation that supports a return to independence. This will initially be through short term interventions, adaptations, equipment and technology that help to prevent or delay the person's need for longer term support.

## **Reducing dependency and increasing cost effectiveness**

- 3.21 People will receive the right support at the right time and in the right place so they can make progress in managing their disability or illness and lead lives with less dependency on public funded services.
- 3.22 We will seek out cost-effective solutions for people that will provide support in the most appropriate and efficient way. We need to be aware that funds are limited and so we must try to gain the most from the money that is available to us and ensure that it is spent wisely.

## **Strategic and operational planning and guidance**

- 3.23 Our strategies, plans and operational guidance will support the principles of promoting independence set out in this policy wherever possible.

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## Appendix B

### Examples of promoting independence in practice

- Providing timely and quality information, advice enabling people to understand and make the right lifestyle choice.
- Supporting prevention and wellbeing so people live longer and with more years free from disease and the need for public funded support
- Maximising opportunities for recovery and recuperation through short term interventions;
- Avoiding making life changing decisions about long term care when people are in crisis;
- Working with people's strengths and assets and those of their community
- Collaborating in partnership to deliver better personalised outcomes.
- Enabling opportunities for social relationships. The stories people tell reveals how other people shape their identity. Positive stories are made possible by positive relationships and supportive networks to which people belong. People may need targeted support to develop informal support.
- Recognising and promoting the potential for technology to facilitate social engagement and social networks for people with disabilities as well as being a source of practical help to support risk management and enhance confidence. Both are key in enabling social identity and independence.
- Recognising and respecting the multiple identities of each person. Encourage people to see themselves, for example, as a young woman, a music lover who likes to sing, someone makes fashion choices that reflect their identity, their ideas and ideals, someone who likes the beach or countryside, not just a person who may have care and support needs
- Recognising that fundamental human needs are not just physical and include all of the following:
  - positive relationships
  - a sense of belonging
  - individual autonomy
  - active involvement in decision-making
  - active engagement in community
  - using one's unique strengths in ways that provide a challenge
  - making a contribution.
- Encouraging people to try new things in a safe way that supports and rewards their efforts.
- Recognising that too much paid support may inhibit the development of freely given relationships with ordinary people.  
Providing confidence that the necessary support will be available in response to changes circumstances when needed.

PTE/17/32

Cabinet  
14 June 2017

## **Tiverton Eastern Urban Extension (EUE) A361 Access Junction**

Report of the Head of Planning, Transportation and Environment

***Please note that the following recommendations are subject to consideration and determination by the Cabinet (and confirmation under the provisions of the Council's Constitution) before taking effect.***

### **Recommendation: That Cabinet:**

- (a) Approves the junction design shown on drawing C11017/53 (attached to this report) to be constructed at an estimated cost of £5.7million;**
- (b) Approves the increase in the 2017/18 capital programme of £2,514,193, with £1,014,193 funded by developer contributions (£14,193 forward funded by DCC and £1million forward funded by MDDC) and £1,500,000 funded by external grant (subject to approval by the Local Enterprise Partnership on 13 June 2017);**
- (c) Agrees that the Head of Planning, Transportation and Environment, in consultation with the Cabinet Member for Infrastructure, Development and Waste and local member, be given delegated authority to make minor amendments to the scheme design.**

### **1. Summary**

The report seeks Cabinet approval for the scheme to construct Phase 1 of the access junction of the A361 junction to the east of Tiverton to allow access to the new Tiverton Eastern Urban Extension (EUE) which will allow the development site to begin construction.

### **2. Background/Introduction**

The Tiverton EUE development site is included in Mid Devon's Local Plan for up to 2,000 dwellings and a major employment allocation. Currently the only access to the site is along Blundell's Road which bisects Blundell's School or through Halberton which is severely constrained due to the give-and-take priority sections through the village. Phase 1 of the Traffic Calming on Blundell's Road past the School was completed in autumn 2016 which allowed the first 300 dwellings to be developed on the Tiverton EUE site. However, the rest of the site is currently stalled and can only continue to be developed through the provision of a new access junction onto the A361.

A full grade separated junction and associated link road is proposed within the Masterplan for the Tiverton EUE site to accommodate all the development in the Local Plan and future development that may come forward within the area. The A361 is the strategic link to northern Devon and it is important to ensure the junction is future proofed to operate during peak traffic flows. However, the full junction is not required until 1,000 dwellings are occupied which will be a number of years, consequently the junction will be built in phases.

Phase 1a is the access junction onto the A361 which would allow developers (Chettiscombe Trust) to start construction of houses and employment space and complete the link road (Phase 1b) connecting the junction to Blundell's Road. This would enable the build out of all

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the development on their land (700 dwellings, primary school, community facilities and 22,000m<sup>2</sup> employment floorspace).

## 3. Proposal

The scheme will consist of constructing the access junction shown in plan C11017/53 up to surface course and also includes drainage, construction of a noise bund and planting. The A361 will also be resurfaced in a low noise material for a length of 200m in either direction from the extents of the junction on both carriageways to meet the planning conditions. This resurfacing, along with additional resurfacing of the A361 is being carried out through a separate project led by the DCC Highway Maintenance team.

## 4. Consultations/Representations/Technical Data

The A361 junction was included in Mid Devon District Council's (MDDC) masterplan for the Tiverton EUE site and also their more recent Local Plan Review which has just been submitted to the Planning Inspectorate. Both of these documents went through two stages of public consultation including local exhibitions for people to have their say about the scheme. In addition to this, a planning application for the junction was submitted to MDDC in summer 2014, allowing the public another option to express their comments over the scheme.

The junction is required to allow the remaining of the Tiverton EUE development site to come forward because of the safety issues associated with additional traffic using Blundell's Road. The biggest issue is the effect of the increased traffic past Blundell's School where over 3,000 pedestrians cross the road every day. Without the provision of this new access junction, the development site is stalled and MDDC have issues over their 5-year land supply.

## 5. Financial Considerations

The cost of constructing this access junction is estimated at £5.7m, to be funded as follows: -

| Funding Source                                  | Prior Years Expenditure<br>£ | Estimated Spend<br>2017/18<br>£ | Total<br>£ |
|-------------------------------------------------|------------------------------|---------------------------------|------------|
| Local Transport Plan grant                      | 635,807                      |                                 | 635,807    |
| Waddeton Park (S106)                            | 414,404                      | 129,789                         | 544,193    |
| Chettiscombe Trust (S106)<br>(MDDC)             |                              | 1,000,000                       | 1,000,000  |
| Mid Devon District Council<br>contribution      | 20,000                       |                                 | 20,000     |
| National Productivity<br>Investment Fund (NPIF) |                              | 2,000,000                       | 2,000,000  |
| LEP Growth Deal 2                               |                              | 1,500,000                       | 1,500,000  |
| Total                                           | 1,070,211                    | 4,629,789                       | 5,700,000  |

The Waddeton Park Section 106 is forward funded by DCC, £1.0m from Chettiscombe Trust S106 is forward funded by MDDC. The Local Enterprise Partnership funding, is subject to being agreed by the Local Transport Board on 13 June and a verbal update on the status of this will be provided at the Cabinet meeting.

£115,596 Waddeton Park and the £2 million NPIF grant from the DfT is already included in the 2017/18 capital programme.

Chettiscombe Trust will complete the access junction connection to Blundell's Road which will allow the junction to be open to the public. Once the other landowners within the Tiverton EUE site come forward, developer contributions and additional external resources will be sought to construct Phase 2, the bridge and the slip roads on the northern side of the A361. This is only required after the occupation of 1,000 dwellings on the Tiverton EUE site and this is likely to take several years, giving confidence that the rest of the junction can be constructed before 1,000 dwellings are occupied.

## **6. Environmental Impact Considerations**

An Environmental Impact Assessment was carried out as part of the planning application for the junction. Initial site clearance has been carried out to minimise impact on nesting birds and hibernating dormice, with the roots being removed in May 2017 after the dormouse hibernation season.

## **7. Equality Considerations**

Where relevant to the decision, the Equality Act 2010 Public Sector Equality Duty requires decision makers to give due regard to the need to:

- eliminate discrimination, harassment, victimisation and any other prohibited conduct;
- advance equality by encouraging participation, removing disadvantage, taking account of disabilities and meeting people's needs; and
- foster good relations between people by tackling prejudice and promoting understanding.

An Impact Assessment has been prepared, a copy of which has been circulated to Cabinet Members, and is available on the Council's website at:

<https://new.devon.gov.uk/impact/tiverton-a361-junction-june-2017/>

## **8. Legal Considerations**

There are no specific legal considerations.

## **9. Risk Management Considerations**

This policy/proposal has been assessed and all necessary safeguards or action have been taken/included to safeguard the Council's position.

The scheme is subject to normal engineering risks and suitable risk allowances have been included.

## **10. Public Health Impact**

The junction is within close proximity to existing residential properties. These residents have been involved as the plans for the junction have progressed and changes to the scheme have been made as a result. The resurfacing of the A361 and construction of a noise fence and bund is designed to reduce noise levels at the existing residential properties.

## **11. Options/Alternatives**

A number of alternative options for the junction were considered, such as location and type of junction. More details of these options can be found in the Options Appraisal Report

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which can be found here: <http://heartofswlep.co.uk/wp-content/uploads/2016/09/Tiverton-EUE-Options-Assessment-Report.pdf>

In summary, the proposed scheme is the best balance of safety, access to development, impact of the strategic road network, cost and impact on local residents.

## 12. Reason for Recommendation/Conclusion

The scheme is recommended in order that it can be formally supported as a County Council scheme. It is a catalyst for vital growth in the area, unlocking housing and employment development which is currently stalled.

Dave Black  
Head of Planning, Transportation and Environment

### Electoral Divisions: Tiverton East

Cabinet Member for Infrastructure, Development and Waste: Councillor Andrea Davis

*Strategic Director Communities, Public Health, Environment and Prosperity: Dr Virginia Pearson*

Local Government Act 1972: List of Background Papers

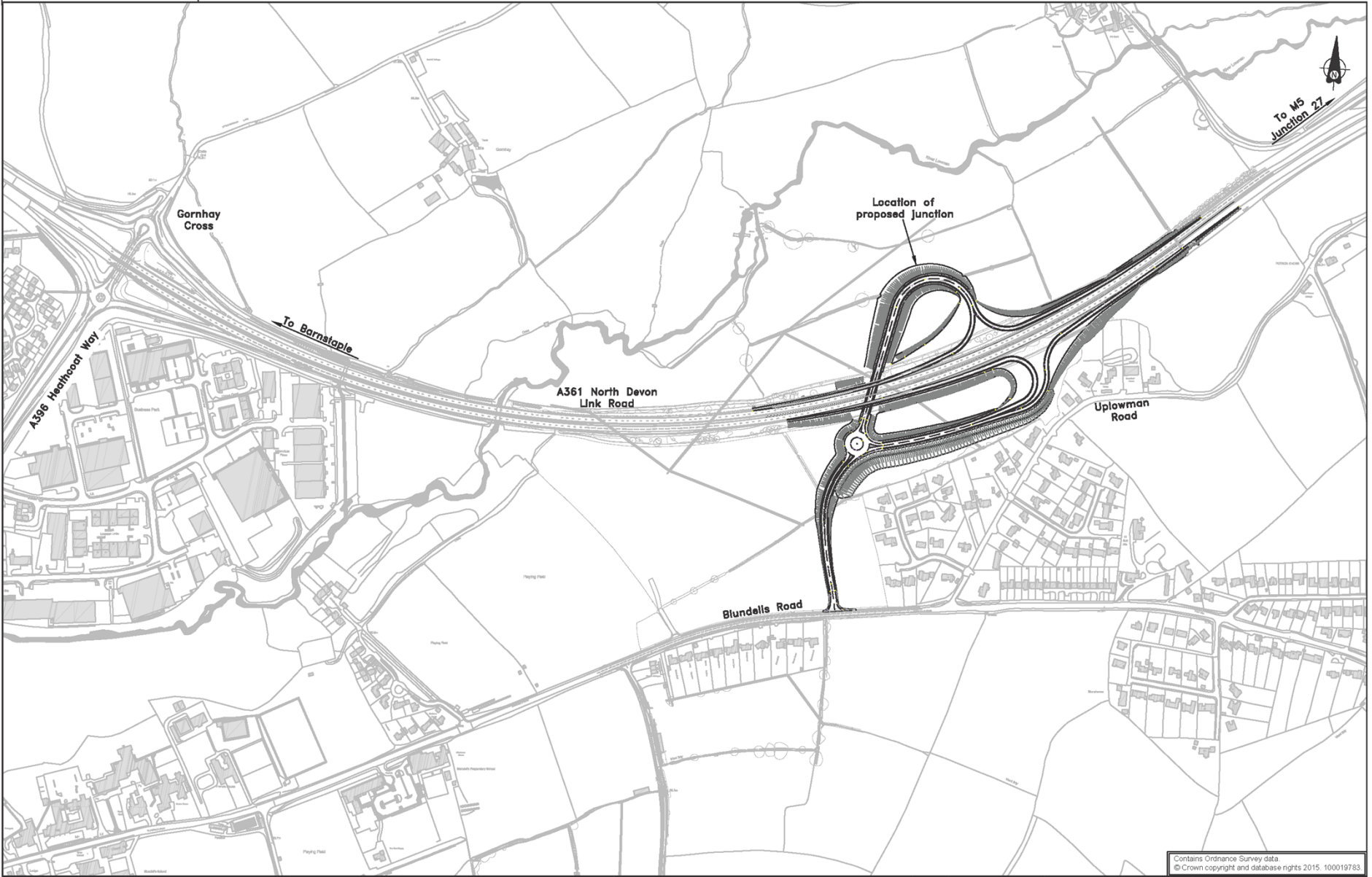
Contact for enquiries: Stuart Jarvis

Room No. AB2 Lucombe House, County Hall, Topsham Road, Exeter, EX2 4QD

Tel No: (01392) 383372

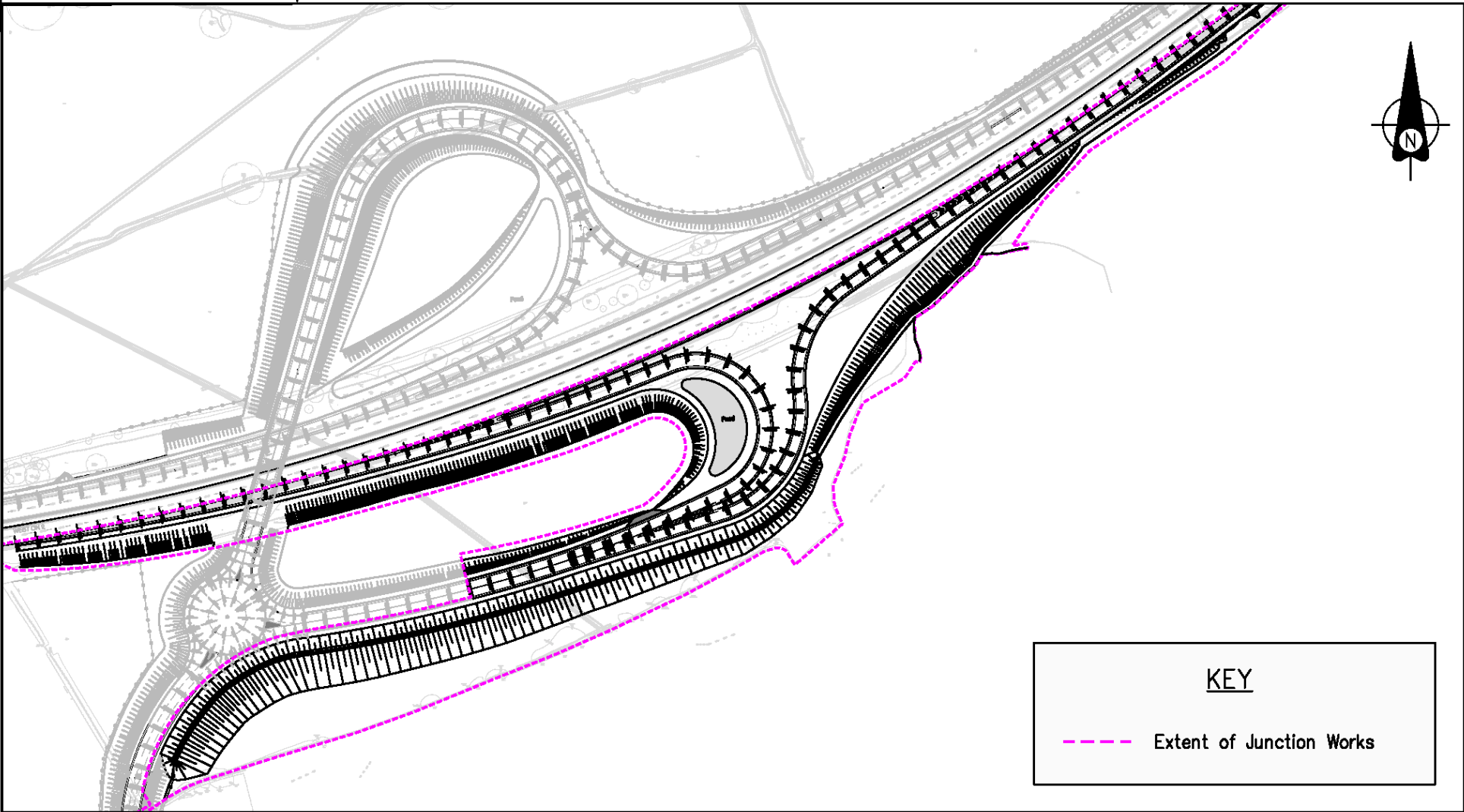
| Background Paper     | Date      | File Reference                                                                                                                                    |
|----------------------|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Impact Assessment | June 2017 | <a href="https://new.devon.gov.uk/impact/tiverton-a361-junction-june-2017/">https://new.devon.gov.uk/impact/tiverton-a361-junction-june-2017/</a> |

sj150517cab Tiverton Eastern Urban Extension A361 Access Junction  
hk 06 010617



|   | <b>Engineering Design Group</b><br>Mattford Offices<br>County Hall<br>Topsham Road<br>Exeter<br>EX2 4QD<br>customer@devon.gov.uk<br>www.devon.gov.uk | JOB<br><b>A361 NORTH DEVON LINK<br/>                 TIVERTON<br/>                 EASTERN URBAN EXTENSION</b> | DRAWING TITLE<br><b>LOCATION PLAN</b> | <table border="1"> <thead> <tr> <th>Rev</th> <th>Date</th> <th>Drawn</th> <th>Revisions</th> </tr> </thead> <tbody> <tr> <td>0</td> <td>30.09.2015</td> <td>AS</td> <td>N/A</td> </tr> <tr> <td>A</td> <td>11.01.2017</td> <td>AS</td> <td>Junction layout updated</td> </tr> </tbody> </table> | Rev | Date | Drawn | Revisions | 0 | 30.09.2015 | AS | N/A | A | 11.01.2017 | AS | Junction layout updated | Contains Ordnance Survey data<br>© Crown copyright and database rights 2015. 100019783<br>Chk Do not scale from this drawing in either hard or electronic format. No responsibility is accepted where this drawing is used in circumstances other than that for which it was originally prepared and issued. |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|---------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|------|-------|-----------|---|------------|----|-----|---|------------|----|-------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|   | Rev                                                                                                                                                  | Date                                                                                                           | Drawn                                 | Revisions                                                                                                                                                                                                                                                                                       |     |      |       |           |   |            |    |     |   |            |    |                         |                                                                                                                                                                                                                                                                                                              |
|   | 0                                                                                                                                                    | 30.09.2015                                                                                                     | AS                                    | N/A                                                                                                                                                                                                                                                                                             |     |      |       |           |   |            |    |     |   |            |    |                         |                                                                                                                                                                                                                                                                                                              |
| A | 11.01.2017                                                                                                                                           | AS                                                                                                             | Junction layout updated               |                                                                                                                                                                                                                                                                                                 |     |      |       |           |   |            |    |     |   |            |    |                         |                                                                                                                                                                                                                                                                                                              |
|   |                                                                                                                                                      | Drawing number<br><b>C11017/102</b>                                                                            | Revision<br><b>A</b>                  |                                                                                                                                                                                                                                                                                                 |     |      |       |           |   |            |    |     |   |            |    |                         |                                                                                                                                                                                                                                                                                                              |
|   |                                                                                                                                                      | Scale<br><b>1/5000</b>                                                                                         | Size<br><b>A3</b>                     |                                                                                                                                                                                                                                                                                                 |     |      |       |           |   |            |    |     |   |            |    |                         |                                                                                                                                                                                                                                                                                                              |





**KEY**

- - - - - Extent of Junction Works

|                                                                                     |                                                                                                                                                                                      |                                                                                                                                              |                        |                                    |                      |                  |            |                                                                                                                                                                                                                |
|-------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|------------------------|------------------------------------|----------------------|------------------|------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | <b>Engineering Design Group</b>                                                                                                                                                      | DRAWING TITLE                                                                                                                                | <b>Rev</b>             | <b>Date</b>                        | <b>Drawn</b>         | <b>Revisions</b> | <b>Chk</b> | Do not scale from this drawing in either hard or electronic format. No responsibility is accepted where this drawing is used in circumstances other than that for which it was originally prepared and issued. |
|                                                                                     | Matford Offices    Tel. 0845 155 1004<br>County Hall        Fax 01392 382342<br>Topsham Road<br>Exeter                customer@devon.gov.uk<br>EX2 4QD              www.devon.gov.uk | <b>A361 NORTH DEVON LINK<br/>                 TIVERTON<br/>                 EASTERN URBAN EXTENSION<br/>                 PHASE 1 EXTENTS</b> | 0    18.05.2017    AS  |                                    |                      |                  |            |                                                                                                                                                                                                                |
|                                                                                     |                                                                                                                                                                                      |                                                                                                                                              |                        | drawing number<br><b>C11017/53</b> | Revision<br><b>0</b> |                  |            |                                                                                                                                                                                                                |
|                                                                                     |                                                                                                                                                                                      |                                                                                                                                              | scale(s)<br><b>NTS</b> | size<br><b>A4</b>                  |                      |                  |            |                                                                                                                                                                                                                |



# Impact Assessment

Version 2015

|                       |                           |
|-----------------------|---------------------------|
| <b>Assessment of:</b> | Tiverton A361 Junction    |
| <b>Service:</b>       | PT&E – Transport Planning |

|                                                     |                                                      |
|-----------------------------------------------------|------------------------------------------------------|
| <b>Head of Service:</b>                             | Dave Black                                           |
| <b>Date of sign off by Head Of Service/version:</b> |                                                      |
| <b>Assessment carried out by (incl. job title):</b> | Stuart Jarvis – Assistant Transport Planning Officer |

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## Section 1 - Background

|                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|-------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Description:</b>                             | Proposed new junction onto the A361 to the east of Tiverton, consisting of 4 new slip roads connected by an overbridge and link connecting to Blundell's Road                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>Reason for change and options appraisal:</b> | <p>The scheme will provide direct access to the proposed Tiverton Eastern Urban Extension (EUE) development site, removing traffic from unsuitable existing roads such as Blundell's Road and Post Hill through Halberton. The scheme will unlock up to 2000 dwellings and a major employment allocation, as outlined in the Mid Devon Masterplan.</p> <p>A number of options were considered including various locations and junction types, with the chosen scheme being the preferred option. More information on these options can be found in the Options Assessment Report located here: <a href="http://www.heartofswlep.co.uk/sites/default/files/user-88/Tiverton%20EUE%20Options%20Assessment%20Report.pdf">http://www.heartofswlep.co.uk/sites/default/files/user-88/Tiverton%20EUE%20Options%20Assessment%20Report.pdf</a></p> |

## Section 2 - Key impacts and recommendations

|                                                                                             |                                                                                                                                                                                                                                                                                                                                     |
|---------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Social/equality impacts:                                                                    | Improved journey time reliability for private cars and buses due to the reduction of vehicles on Blundell's Road and Post Hill.                                                                                                                                                                                                     |
| Environmental impacts:                                                                      | The scheme will reduce journey times and distances as well as relieve congestion from other parts of the local network such as Blundell's Road which is close to becoming an Air Quality Management Area (AQMA).<br><br>The scheme will however require major earthworks and construction of the new road in existing green fields. |
| Economic impacts:                                                                           | Analysis of the transport benefits of the scheme show it has a benefit cost ratio of 7 and is therefore deemed to provide excellent value for money.                                                                                                                                                                                |
| Other impacts (partner agencies, services, DCC policies, possible unintended consequences') | The scheme will also unlock the development up to 2026 as set out in the Mid Devon Local Plan and adopted Masterplan for the EUE site.                                                                                                                                                                                              |
| How will impacts and actions be monitored?                                                  | Reduce journey times as a result of reduced distance will be monitored through Strategis journey time data. Manual and automatic traffic counts will monitor traffic flows on the local road network.                                                                                                                               |

## Section 3 - Profile and views of stakeholders and people directly affected

|                                                            |                                                                                                                                                                                                                                                                                   |
|------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| People affected:                                           | Local residents, development landowners                                                                                                                                                                                                                                           |
| Diversity profile and needs assessment of affected people: | The scheme will provide benefits to all drivers and road users in the area of Tiverton. It will have some environmental impacts on the local residents close to the scheme but they have been involved as the design of the junction has progressed to help minimise the impacts. |

|                                |                                                                                                                                                                                                                                                                                                                           |
|--------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Other stakeholders:            | Local Members, Mid Devon District Council, Highways Agency                                                                                                                                                                                                                                                                |
| Consultation process:          | Local Members and MDDC have been consulted on the scheme and the policy implications. MDDC also held a two-stage consultation process for the Tiverton EUE site which included the junction onto the A361. DCC officers have met with local residents on numerous occasions to help minimise the impacts of the junction. |
| Research and information used: | The scheme takes into account Government design standards. Numerous environmental, geotechnical and topographical surveys have been undertaken and used to design the junction.                                                                                                                                           |

# Background Analysis

This section describes how relevant questions and issues have been explored during the options appraisal.

## Section 4a - Social Impacts

### Giving Due Regard to Equality and Human Rights

The local authority must consider how people will be affected by the service, policy or practice. In so doing we must give due regard to the need to:

- Eliminate unlawful discrimination, harassment and victimisation
- Advance equality of opportunity and
- Foster good relations.

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Where relevant, we must take into account the protected characteristics of age, disability, gender, gender reassignment, pregnancy and maternity, marriage and civil partnership, sexual orientation, race, and religion and belief.

This means considering how people with different needs get the different services they require and are not disadvantaged, and facilities are available to them on an equal basis in order to meet their needs; advancing equality of opportunity by recognising the disadvantages to which protected groups are subject and considering how they can be overcome.

We also need to ensure that human rights are protected. In particular, that people have:

- A reasonable level of choice in where and how they live their life and interact with others (this is an aspect of the human right to 'private and family life').
- An appropriate level of care which results in dignity and respect (the protection to a private and family life, protection from torture and the freedom of thought, belief and religion within the Human Rights Act and elimination of discrimination and the promotion of good relations under the Equality Act 2010).
- A right to life (ensuring that nothing we do results in unlawful or unnecessary/avoidable death).

The Equality Act 2010 and other relevant legislation does not prevent the Council from taking difficult decisions which result in service reductions or closures for example, it does however require the Council to ensure that such decisions are:

- Informed and properly considered with a rigorous, conscious approach and open mind, taking due regard of the effects on the protected characteristics and the general duty to eliminate discrimination, advance equality and foster good relations.
- Proportionate (negative impacts are proportionate to the aims of the policy decision)
- Fair
- Necessary
- Reasonable, and
- Those affected have been adequately consulted.

|                                                                              |                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                   |
|------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Page 55<br><b>Characteristics</b>                                            | <b>Describe any actual or potential negative consequences (e.g. disadvantage or community tensions) for the groups listed.</b><br><br><b>(Consider how to mitigate against these).</b>                                                                        | <b>Describe any actual or potential neutral or positive outcomes for the groups listed.</b><br><br><b>(Consider how to advance equality/reduce inequalities as far as possible).</b>                                                                                                                                              |
| All residents (in general):                                                  | The scheme will have the same impacts on all groups of people. There are potential disbenefits to residents living close to the scheme but these will be mitigated through the construction of bunds and acoustic fences as well as additional tree planting. | The scheme will have the same impacts on all groups of people. There will be reduced journey time and distance benefits to existing local residents and those who occupy the proposed development. This will remove traffic from Blundell's Road past the school and through Halberton, improving the environment of these areas. |
| Age (from young to old):                                                     |                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                   |
| Disability (incl. sensory, mobility, mental health, learning disability, ill |                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                   |

|                                                                                                                                                                                      |  |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|
| health) and carers of disabled people:                                                                                                                                               |  |  |
| Culture/ethnicity: nationality, skin colour, religion and belief:                                                                                                                    |  |  |
| Sex, gender and gender identity (including Transgender & pregnancy/maternity):                                                                                                       |  |  |
| Sexual orientation:                                                                                                                                                                  |  |  |
| Other socio-economic factors such as families, carers, single people/couples, low income, vulnerability, education, reading/writing skills, 'digital exclusion' and rural isolation. |  |  |
| Human rights considerations:                                                                                                                                                         |  |  |

## Section 4b - Environmental impacts

An impact assessment should give due regard to the following activities in order to ensure we meet a range of environmental legal duties.

The policy or practice does not require the identification of environmental impacts using this Impact Assessment process because it is subject to (please select from the table below and proceed to the 4c, otherwise complete the environmental analysis table):

|   |                                                                                                                                                               |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------|
|   | Devon County Council's Environmental Review Process for permitted development highway schemes.                                                                |
| x | Planning Permission under the Town and Country Planning Act (1990).                                                                                           |
|   | Strategic Environmental Assessment under European Directive 2001/42/EC "on the assessment of the effects of certain plans and programmes on the environment". |

| Page 57                                                            | Describe any actual or potential negative consequences.<br><br>(Consider how to mitigate against these). | Describe any actual or potential neutral or positive outcomes.<br><br>(Consider how to improve as far as possible). |
|--------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|
| Reduce waste, and send less waste to landfill:                     |                                                                                                          |                                                                                                                     |
| Conserve and enhance biodiversity (the variety of living species): |                                                                                                          |                                                                                                                     |

|                                                                                                                                                       |  |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|
| Safeguard the distinctive characteristics, features and special qualities of Devon's landscape:                                                       |  |  |
| Conserve and enhance the quality and character of our built environment and public spaces:                                                            |  |  |
| Conserve and enhance Devon's cultural and historic heritage:                                                                                          |  |  |
| Minimise greenhouse gas emissions:                                                                                                                    |  |  |
| Minimise pollution (including air, land, water, light and noise):                                                                                     |  |  |
| Contribute to reducing water consumption:                                                                                                             |  |  |
| Ensure resilience to the future effects of climate change (warmer, wetter winters; drier, hotter summers; more intense storms; and rising sea level): |  |  |
| Other (please state below):                                                                                                                           |  |  |



## Section 4c - Economic impacts

|                                 | <b>Describe any actual or potential negative consequences.</b><br><b>(Consider how to mitigate against these).</b> | <b>Describe any actual or potential neutral or positive outcomes.</b><br><b>(Consider how to improve as far as possible).</b>      |
|---------------------------------|--------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| Impact on knowledge and skills: |                                                                                                                    | Unlocks 30,000m <sup>2</sup> employment floorspace on the development site which will provide skilled jobs to the local community. |
| Impact on employment levels:    |                                                                                                                    | Jobs required to construct scheme and employment land unlocked for development.                                                    |
| Impact on local business:       |                                                                                                                    | Improved access to A361 for all local businesses                                                                                   |

Page 5

## Section 4d - Combined Impacts

|                                                                           |                                                                                                                                                   |
|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| Linkages or conflicts between social, environmental and economic impacts: | The scheme is located close to existing houses to overcome safety issues as moving the junction further west would decrease the weaving distance. |
|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|

## Section 5 - 'Social Value' of planned commissioned/procured services:

|                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                   |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| How will the economic, social and environmental well-being of the relevant area be improved through what is being proposed? And how, in conducting the process of procurement, might that improvement be secured? | There are some potential environmental impacts as a result of the materials required for constructing the scheme and fuel for the plant during construction, but no more than other schemes of this nature. The close links to the M5 improve access to the site. |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

Construction projects have the potential to attract negative publicity. However, the contractor will be participating in the Considerate Contractor Scheme.

## NOTICE(S) OF MOTION

Report of the County Solicitor

**Recommendation:** that consideration be given to any recommendations to be made to the County Council in respect of the Notice of Motion set out hereunder having regard to the relevant factual briefing/background papers and any other representations made to the Cabinet.

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1. The Notice of Motion submitted to the County Council by Councillor Greenslade set out below was referred to the Cabinet in accordance with Standing Order 8(2) - for consideration, reference to another committee or to make a recommendation back to the Council. A factual 'Briefing Note/Position Statement' prepared by the relevant Head of Service is also set out, to facilitate the Cabinet's discussion of each Notice of Motion.

'NHS in Devon - Public Enquiry

'While applauding the care provided by all our NHS medical staff the County Council is concerned at the current state of the NHS in Devon, the impact the NHS "Success Regime" is having and studies suggesting many GP's will be retiring, being examples of areas for concern.

Accordingly the County Council agrees to establish a local public inquiry to consider the state of the NHS in Devon'.

Officers Briefing Note/Position Statement

The Council recognises and supports the hard work and care provided by NHS colleagues in Devon across all settings and services. The Council also recognises the importance of working together across the NHS and Local Government where it makes sense to citizens for us to do so. This approach is most apparent in our partnership in the Devon Sustainability and Transformation Plan (STP).

The Council's former Health and Wellbeing Scrutiny Committee has previously undertaken significant pieces of work to oversee and scrutinise wide ranging aspects of both NHS provision as well as commissioning responsibilities in Devon. The newly formed Health and Adult Care Scrutiny Committee will want to establish its work programme and ensure, inter alia, a focus on improvements to the health outcomes of the whole population including children and young people in transition to adult services, taking account of relevant research, information and data including the key messages contained in the Annual Report of the Director of Public Health.

General Practices (GPs) are currently commissioned by NHS England, and are regulated by the Care Quality Commission (CQC). Overall the quality of primary care in Devon is of a very high standard. General Practices (GPs) in Devon deliver high quality outcomes and score well for patient experience and patient satisfaction indicators when compared to the England average e.g. 90% of people were successful in getting an appointment when they wanted it (compared to 85% nationally), 95% were satisfied with the convenience of an appointment (compared to 92% nationally, July 2016 figures). Of the CQC inspections undertaken in Devon to date all practices, bar one, were rated either 'good' or 'outstanding'.

Agenda Item 12

Overall Devon has not experienced the same level of difficulty in maintaining GP numbers as other areas of the country with recruitment & retention levels sitting above the national average although the number of vacancies across Devon (including Plymouth and Torbay) is increasing, in part due to the demographic profile (almost a quarter of local GPs in Devon plan to leave the NHS in 5 years) and increasing numbers of people working part time .

Whilst these challenges are not unique to Devon, it is important they are addressed. One of the seven priorities in the Devon Sustainability and Transformation Plan is focused on tackling the many challenges facing primary care. Nationally, NHS England has also published 'the General Practice Forward View' (<https://www.england.nhs.uk/wp-content/uploads/2016/04/gpfv.pdf>) which places primary care at the centre of delivering community health and wellbeing and identifies a range of potential future models of delivery.

A complementary report, undertaken by the Primary Care Workforce Commission entitled 'The Future of Primary Care – Creating teams for tomorrow' (https://www.hee.nhs.uk/sites/default/files/documents/WES_The-future-of-primary-care.pdf) sets out proposals to address the workforce issues for Primary Care and General Practice relating to recruitment, retention, and career attractiveness.

Torbay and South Devon Clinical Commissioning Group (CCG) and North, East and West Devon Clinical Commissioning Group (CCG) have agreed a joint Strategy for General Practice: 2017-2021. This is aligned to the national primary care vision and puts high-quality General Practice at the centre of ensuring the best possible healthcare for the population of Devon. In order to achieve this the intention is to increase, and optimise capacity and capability within primary and community services, with a continued focus on delivering preventative and proactive care models, particularly for those identified as being at greater risk of poor health within our population.

The new Health and Adult Care Scrutiny Committee might wish also to explore with the Cabinet Member for Adult Social Care and Health Services how to best ensure the Council's views are represented to Government. That work could also provide overview and complement the focus that will also be undertaken by the primary care priority area, as part of the STP and the three Local Authorities in Devon are represented at political and officer levels as key partners.

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This Report has no specific equality, environmental, legal or public health implications that will not be assessed and appropriate safeguards and/or actions taken or included within the detailed policies or practices or requirements in relation to the matters referred to herein.

JAN SHADBOLT

[Electoral Divisions: All]

**Local Government Act 1972: List of Background Papers**

Contact for Enquiries: R Hooper  
Tel No: 01392 382300 Room: G31

| <b><u>Background Paper</u></b> | <b><u>Date</u></b> | <b><u>File Reference</u></b> |
|--------------------------------|--------------------|------------------------------|
| Nil                            |                    |                              |

| <b>SCHEDULE OF CABINET MEMBER DECISIONS TAKEN SINCE PREVIOUS MEETING</b> |                                                                                                                                                                                                  |                       |
|--------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|
| <b>Cabinet Remit/Officer</b>                                             | <b>Matter for Decision</b>                                                                                                                                                                       | <b>Effective Date</b> |
| Children's Services and Schools                                          | Approval to future commissioning of the Children's Centre service being procured and delivered operationally under a single provider contract                                                    | 9 June 2017           |
|                                                                          | Approval to seeking Expressions of Interest/Applications for a new 210 place primary school with early years provision (increasing to 420 places) to serve the expanding community of Okehampton | 13 June 2017          |

The Registers of Decisions will be available for inspection at meetings of the Cabinet or, at any other time, in the Democratic Services & Scrutiny Secretariat, during normal office hours. Contact details shown above.

In line with the Openness of Local Government Bodies Regulations 2014, details of Decisions taken by Officers under any express authorisation of the Cabinet or other Committee or under any general authorisation within the Council's Scheme of Delegation set out in Part 3 of the Council's Constitution may be viewed at <https://new.devon.gov.uk/democracy/officer-decisions/>

